

An aerial photograph of a river delta, likely the Amazon, with a color-coded map overlay. The map uses various colors to represent different land cover types: green for forest, yellow and orange for agricultural or developed areas, and purple for urban centers. The river channels are shown in dark blue/black. The top of the image is partially obscured by a white curved banner containing the journal title and publication information.

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# Coupling the snow thermodynamic model SNOWPACK with the microwave emission model of layered snowpacks for subarctic and arctic snow water equivalent retrievals

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[1] Satellite-passive microwave remote sensing has been extensively used to estimate snow water equivalent (SWE) in northern regions. Although passive microwave sensors operate independent of solar illumination and the lower frequencies are independent of atmospheric conditions, the coarse spatial resolution introduces uncertainties to SWE retrievals due to the surface heterogeneity within individual pixels. In this article, we investigate the coupling of a thermodynamic multilayered snow model with a passive microwave emission model. Results show that the snow model itself provides poor SWE simulations when compared to field measurements from two major field campaigns. Coupling the snow and microwave emission models with successive iterations to correct the influence of snow grain size and density significantly improves SWE simulations. This method was further validated using an additional independent data set, which also showed significant improvement using the two-step iteration method compared to standalone simulations with the snow model.

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## 1. Introduction

[2] Seasonal snow cover plays an important role in the surface energy balance [e.g., *Male and Granger*, 1981; *Arons and Colbeck*, 1995; *Gustafsson et al.*, 2001] through its high albedo, low thermal conductivity, and diffusivity [e.g., *Li and Zhou*, 2001; *Albert*, 2002; *Lemke et al.*, 2007]. Furthermore, snow is a key hydrological variable, acting as an important freshwater reservoir [e.g., *Barnett et al.*, 2005], necessary for the health of ecosystems and energy production (e.g., hydroelectricity). Variability in snow melt and snow melt timing has major implications for permafrost regimes [*Romanovsky et al.*, 2010] and associated geochemical cycling.

[3] Large uncertainties remain with regard to the effect of snow on climatological cooling and heating patterns [*Fletcher et al.*, 2009]. Furthermore, the lack of proper snow depth and snow water equivalent (SWE) information

within global circulation models lead to uncertainties in climate predictions [*Essery*, 1998; *Brown et al.*, 2003; *Hardiman et al.*, 2008; *Dutra et al.*, 2010]. The uncertainties are larger in northern latitudes where the observed warming is strongest [i.e., *Kaufman et al.*, 2009] due to a lack of in situ snow and meteorological observations used to drive the models. A realistic representation of snow (e.g., SWE) is therefore imperative to make reliable projections about the response of the northern environment to a warming climate. This was addressed in numerous studies using various remote sensing methods to monitor snow cover extent using visible-near infrared remote sensing [e.g., *Hall et al.*, 1995; *Maurer et al.*, 2003; *Salomonson and Appel*, 2004; *Frei and Lee*, 2010]. However, those methods do not allow the retrieval of SWE, a crucial parameter related to cryospheric energy and water budgets. The use of spaceborne passive microwave measurements has proven to be a useful tool in determining SWE over land [e.g., *Chang et al.*, 1982; *Foster et al.*, 1997; *Pulliaminen and Hallikainen*, 2001; *Derksen et al.*, 2005, 2010] and sea ice [e.g., *Markus and Cavalieri*, 2000; *Langlois et al.*, 2007, 2010a], but the satellite sensor coarse spatial resolution ( $\sim 625 \text{ km}^2$ ) combined with high spatial variability of snow and vegetation properties [e.g., *Foster et al.*, 2005; *Langlois et al.*, 2011] introduces random and systematic uncertainties that can produce high error values for retrieval methods that rely solely on passive microwave measurements.

[4] Recently, multilayered thermodynamic snow models such as SNOWPACK [*Bartelt and Lehning*, 2002] have demonstrated potential in SWE predictions [e.g., *Langlois et al.*, 2009]. The coupling of such models with climatological

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reanalysis data such as the North American Regional Reanalysis (NARR) showed reasonable SWE predictions in north-eastern Canada, and the use of reanalysis data to drive snow models can address the spatial limitations of driving the model with meteorological observations, given the sparse spatial coverage of stations across Canada (about 25 stations per 100,000 km<sup>2</sup>; *Metcalfe and Goodison* [1993]). Modeled snow information coupled with passive microwave radiative transfer models such as the microwave emission model of layered snowpacks (MEMLS) [*Wiesmann and Mätzler*, 1999] could further improve our understanding of retrieval accuracy and hence regional SWE variability.

[5] Recent work using passive microwave data has shown potential in retrieving SWE [*Andreadis and Lettenmaier*, 2006; *Pulliainen*, 2006; *Pardé et al.*, 2007; *Durand and Margulis*, 2007; *Touré et al.*, 2011; *Takala et al.*, 2011]. However, most iteration procedures using passive microwave data are conducted solely on SWE, while large uncertainties still remain with regard to snow grain size parameterization. Grain size is by far the most significant variable affecting radiative transfer in the microwave models and yet is ignored or simply treated in recent studies. Those large uncertainties could lead to bias in SWE retrievals such as systematic overestimation or underestimation, by compensating for errors due to poor snow grain parameterization through SWE. Thus, it becomes necessary to assess the retrieval of snow grain size information in current models, which is hampered by a lack of field measurements arising from sampling constraints. Some of the literature suggests that “grain size” is poorly defined and measured with repeatability problems [e.g., *Domine et al.*, 2006]. Since the morphology is extremely variable and can change in a matter of hours [e.g., *Colbeck*, 1983; *Arons and Colbeck*, 1995; *Domine et al.*, 2008; *Langlois et al.*, 2008], validation of such models with accurate field measurements is yet to be done. Of particular relevance, most SWE algorithms make use of passive microwave radiative transfer principles, where large uncertainties are related to the poor definition of snow grain size profiles [e.g., *Grenfell and Warren*, 1999; *Mätzler and Wiesmann*, 1999; *Roy et al.*, 2004; *Foster et al.*, 2005]. In fact, it was shown using various radiative transfer models such as MEMLS [*Durand et al.*, 2008; *Langlois et al.*, 2010a], Helsinki University of Technology [*Butt and Kelly*, 2008; *Kontu and Pulliainen*, 2010; *Derksen et al.*, 2012a], and Dense Media Radiative Transfer (DMRT) [*Tedesco and Kim*, 2006; *Grody*, 2008; *Brucker et al.*, 2010] that simulated  $T_b$  are very sensitive to snow grain size, and yet this variable is poorly characterized. Promising results from various methods show that near-infrared reflectance can be linked to specific surface area of snow grains [*Matzl and Schneebeli*, 2006; *Domine et al.*, 2006; *Picard et al.*, 2010; *Langlois et al.*, 2010b]. Results from those methods, along with the coupling of a snow and microwave emission model, would allow an improved assessment of the snow grain information from snow model, with related uncertainties, and a more accurate retrieval of snow variables such as SWE.

[6] While satellite microwave brightness temperatures exhibit strong sensitivity to the scattering properties of terrestrial snow, SWE retrieval solutions based solely on empirical relationships between microwave brightness temperature and SWE still perform poorly. Data iteration approaches, however, that can include a physical snowpack model coupled

with a radiative transfer scheme are a possible solution [*Durand et al.*, 2008]. With this goal in mind, the present study evaluates the feasibility of driving a physical snowpack model (SNOWPACK) with the NARR, the outputs of which will be coupled with the MEMLS. The model SNOWPACK is appropriate, since it produces detailed snowpack information far beyond bulk properties like density, depth, and SWE. Radiometric models require stratigraphy and grain size information that are produced by this model. Hence, our main objective is to reduce the uncertainties in SWE simulated by snow models by incorporating passive microwave observations within an iterative scheme (i.e., iteration until error is minimized), which is completely independent from field measurements. Specifically, we want to (a) couple the thermodynamic multilayer snow model (SNOWPACK) to MEMLS, (b) quantify and correct the uncertainty related to poor snow grain information initially predicted by SNOWPACK, and (c) to correct modeled SWE from SNOWPACK-MEMLS and measured in situ/airborne brightness temperature data.

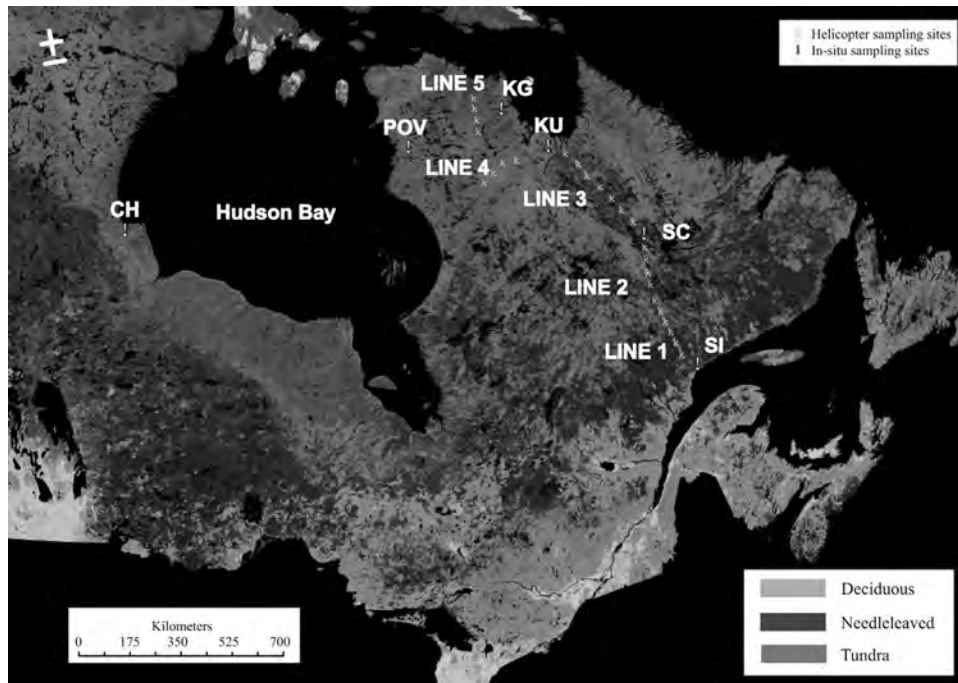
## 2. Data and Methods

### 2.1. International Polar Year and Cold Regions Hydrology High-Resolution Observatory Field Campaigns

[7] Data for this study were collected during two intensive Canadian field campaigns, namely the Canadian International Polar Year (IPY) project “Variability and Change in the Canadian Cryosphere,” which took place in northern Québec in February of 2008, and the Canadian Cold Regions Hydrology High-resolution Observatory (CoReH2O) Snow and Ice Experiment throughout the winter 2009–2010 in Churchill (CH), Manitoba.

[8] The IPY intensive field campaign took place in February 2008 and included four high-resolution sampling sites located at Sept-Îles (SI—boreal: 50.3N–66.3W), Schefferville (SC—taiga: 54.8N–66.7W), Kuuujuaq (KU—taiga and tundra: 58.1N–68.6W), and Puvirnituq (POV—open tundra: 59.8N–76.4W), and five flight lines for airborne measurements (Figure 1). At the high-resolution sampling sites, snow and vegetation properties were measured every kilometer within a grid of 8 km × 16 km. Airborne passive microwave measurements along the flight lines were acquired from a Twin Otter equipped with radiometers at 19 and 37 GHz (both horizontal and vertical polarizations). A helicopter crew measured snow and vegetation properties every 40 km between SI and Kangirsuk (KG—open tundra). This ~2000 km transect spanned the transition in vegetation from dense boreal forest to open tundra (Figure 1). More details on the measurement campaign can be found in *Langlois et al.* [2010a, 2011].

[9] The CoReH2O campaign took place between November 2009 and May 2010 in CH, Manitoba [*Derksen et al.*, 2012b] (Figure 1), during which spatially intensive and temporally extensive observation periods were conducted. The data used in this article were collected during four intensive observation periods (IOPs) of 2–3 weeks in January (IOP 1), February (IOP 2), March (IOP 3), and April/May (IOP 4) 2010. Throughout these periods, sites were revisited to capture the temporal evolution of snow physical properties, and their impact on passive microwave



**Figure 1.** Location of the IPY and CoReH2O field campaign flight lines and sampling sites. The background map is derived from the MODIS land cover product with aggregated classes for clarity.

brightness temperatures measured with sled-based radiometers over various surfaces (clearing in a forested stand, dry/wet fen, and lake ice).

## 2.2. Snow Properties

[10] Snowpits were dug at each site such that direct solar illumination of the snow wall was avoided. Layered density profiles were obtained by extracting snow samples at 3 cm intervals from the surface to the snow/soil interface using a 192 cm<sup>3</sup> density cutter and weighed using a Pesola light series scale ( $\pm 0.5$  g). Bulk SWE and density were measured from a snow core at each site. Density was also determined from the product of measured layer density and thickness through each snowpit. Temperature profiles were measured at 3 cm intervals using a Traceable 2000 digital temperature probe ( $\pm 0.1^\circ\text{C}$ ). The number of sampled sites is given in Table 1.

[11] Snow grain size, a critical parameter strongly affecting microwave snow emission [e.g., Mätzler, 1987], was measured (in CH only) using the shortwave InfraRed Integrating Sphere (IRIS) system, similar to the one developed by Gallet *et al.* [2009], which uses an integrating sphere (Labsphere<sup>®</sup>, 10cm diameter) mounted with two ports on the equator of the sphere at  $0^\circ$  and  $90^\circ$  and one port at the top. The first port located on the equator of the sphere is for the illumination source from a 1.3  $\mu\text{m}$  laser with a 1 cm beam expander. The second port on the equator of the sphere is located in front of the laser and placed in front of the target (snow sample) and the third one at  $90^\circ$  is for an InGaAs photodiode detector. A diaphragm is put in front of the laser beam to measure the dark current and possible parasitic light coming into the sphere. Subtracting the dark current and parasitic light from the measured signal of the sample without the diaphragm allows the clean measurement of the signal

reflected by the snow sample. The IRIS system is calibrated to albedo for each snowpit using reference Spectralon targets (0.06, 0.25, 0.59, 0.79, and 0.99 at 1300 nm), taking into account any possible shift in the laser illumination between every snowpit measurement. For more details on the IRIS system, please refer to Montpetit *et al.* [2011] or Gallet *et al.* [2010].

## 2.3. Moderate Resolution Imaging Spectroradiometer Vegetation Product and Passive Microwave Measurements

[12] Only vegetation-free (forest fraction,  $F = 0$ ) airborne brightness temperatures were used in this study. To identify which sampling sites had available  $T_b$  where  $F = 0$ , we used satellite-derived land cover type and forest fraction maps. The land cover type was determined from the Land Cover Map of Canada 2005, produced by the Canadian Center for Remote Sensing (Natural Resources Canada, Ottawa, Canada) [Latifovic *et al.*, 2004]. The data set encompasses land cover at 250 m spatial resolution, including water fraction. As for the vegetation fraction, values were extracted from the Moderate Resolution Imaging Spectroradiometer (MODIS) vegetation continuous fields available from the Global Land Cover Facility [Hansen *et al.*, 2002]. The vegetation continuous field collection

**Table 1.** Sampling Sites for the IPY and CoReH2O Campaigns Where  $T_b$ , Snow, and Vegetation Properties Data Are Available

Data Set	Dominant Land Cover	Number of Sampling Sites
IPY	Dense boreal forest, taiga, and open tundra	36
CoReH2O	Taiga; open wetland	16

contains proportional estimates for vegetative cover types gathered into three general classes: woody vegetation (forest), herbaceous vegetation, and bare ground.

[13] For the airborne passive microwave measurements, the radiometers were mounted on the National Research Council Twin Otter aircraft, which is described in detail by Walker *et al.* [2002]. The airborne radiometers were precalibrated and postcalibrated each flight using warm (ambient temperature microwave absorber) and cold (liquid nitrogen) targets as described by Solheim [1993] and Asmus and Grant [1999]. Uncertainty in the measurement of the calibration target temperature was estimated at  $\pm 2$  K. The 19 and 37 GHz radiometers were calibrated simultaneously, so the same target temperature uncertainties for a given calibration apply to both frequencies. Estimates of intercalibration receiver drift were made by examining the preflight and postflight calibration target brightness temperatures. Radiometer stability depended on frequency, but overall uncertainty was estimated at  $\pm 2$  K at 19 GHz and  $<1$  K at 37 GHz. The aircraft flew over the high-resolution grids at an altitude of 914 m, while the sites sampled by helicopter access were flown at an altitude of 305 m. The ground field of view at  $53^\circ$  incidence angle was approximately  $290 \text{ m} \times 490 \text{ m}$  and  $120 \text{ m} \times 200 \text{ m}$  for the two altitudes, respectively. One should note that the sites used in this article (Table 1) showed no evidence of melt and contained no ice lenses. The presence of ice lenses complicates MEMLS simulations [Rees *et al.*, 2010], and thus sites where ice was present were removed from the data set. The surface-based measurements conducted in CH used the same set of radiometers mounted on a sled and pulled by a snowmobile. The radiometers were also precalibrated and postcalibrated using warm and cold targets everyday for the duration of the campaign [Derksen *et al.*, 2012b].

## 2.4. Models

### 2.4.1. SNOWPACK Simulations Driven by NARR

[14] Snow thermodynamic models require meteorological information as input, which are sparse in northern regions. Regional reanalysis data such as the NARR available (1979–present) from the Environmental Modeling Center, National Centers for Environmental Prediction, represent a good alternative and were used to drive SNOWPACK. The horizontal resolution is  $0.3^\circ$  (approximately 32 km) and the temporal resolution is eight times daily (every 3 h). To simulate snow cover evolution, the model requires, at each time step (set every 3 h), mean values of air (2-m) and surface temperatures ( $^\circ\text{C}$ ), relative humidity (%), wind speed ( $\text{m}\cdot\text{s}^{-1}$ ), incoming/reflected shortwave and incoming longwave radiation ( $\text{W}\cdot\text{m}^{-2}$ ), and cumulative precipitation over the 3 h period ( $\text{kg}\cdot\text{m}^{-2}$  or mm). A local validation of NARR can be found in Langlois *et al.* [2009], which found that basic meteorological parameters (temperature, humidity, radiation) are fairly well simulated in southern Québec and that promising results are found in northern regions such as KU and SC; however, further investigation is required with regard to precipitation. Thermophysical processes of interest in SWE studies such as phase change, water vapor transport (i.e., metamorphism), and loss (runoff, evaporation, and sublimation) are included within SNOWPACK. The details on the internal models will not be given here; they

can be found elsewhere [Lehning *et al.*, 2002; Bartelt and Lehning, 2002].

[15] Model settings were specified, given the input data availability mentioned above. Two main types of output data can be visualized through user-friendly software, namely scalar and vector data [Spreitzhofer *et al.*, 2004]. The scalar data are related to individual layers of the snowpack such as the simulated vertical profiles of snow density, temperature, grain size, and shape, whereas vector data are attributed to snow cover evolution, such as depth and SWE. The amount of layers varies, given the number of precipitation events and the predicted snow depth. The transition between solid and liquid precipitation occurs at  $+1.2^\circ\text{C}$ .

### 2.4.2. Microwave Emission Model of Layered Snowpacks

[16] The MEMLS can be used in the frequency range between 5 and 100 GHz [Mätzler and Wiesmann, 1999; Wiesmann and Mätzler, 1999]. The model is based on radiative transfer theory, which allows the scattering coefficient to be predicted from physical snow parameters and the absorption coefficient from dielectric properties of ice. Snow cover is considered as a series of horizontal layers ( $L$ ) each characterized by thickness, reflectivity ( $r_L$ ), emissivity ( $e_L$ ), transmissivity ( $t_L$ ), and temperature ( $T_L$ ). The model automatically computes these parameters using snow information as input. To obtain accurate characterizations of  $r_L$ ,  $e_L$ , and  $t_L$ , a six-flux three-dimensional approach is used within each layer. The horizontal fluxes represent radiation that is trapped in the snow cover and cannot exit at incidence angles ( $\theta$ ) larger than the critical angle  $\theta_c$ . The vertical fluxes represent the radiation that escapes the snow cover at  $\theta < \theta_c$ . Further details on the radiation transfer theory used in MEMLS can be found in Mätzler and Wiesmann [1999] and Wiesmann and Mätzler [1999]. The primary input profile data are density ( $\rho_s$ ), snow temperature ( $T_s$ ), liquid water content ( $W_s$ ), correlation length ( $l_c$ ), vertical extent ( $z_L$ ), physical ground temperature ( $T_g$ ), and snow-ground interface reflectivity ( $r_0$ ), which were derived through the NARR-SNOWPACK coupling and field observations. From these primary parameters, the dielectric properties (for dry and wet snow) as well as the absorption ( $\gamma_a$ ) and scattering ( $\gamma_s$ ) coefficients can be derived. The soil parameters in MEMLS were set using the soil reflectivity model of Wegmüller and Mätzler [1999].

### 2.4.3. Correction of Simulated Snow Grains

[17] The output of the SNOWPACK simulations driven by NARR were used as input to MEMLS. We kept the same number of layers as predicted by SNOWPACK (which were not constrained and so were different for each site, given the variability in the number of precipitation events and the predicted snow thickness), but the snow grain optical diameter values (from SNOWPACK,  $d_{\text{opt}}$ ) were replaced by correlation length values (requested in MEMLS,  $l_c$ ) such that

$$l_c = \frac{2}{3} \cdot \left(1 - \frac{\rho_{\text{snow}}}{\rho_{\text{ice}}}\right) \cdot d_{\text{opt}}, \quad (1)$$

where  $\rho_{\text{snow}}$  and  $\rho_{\text{ice}}$  are, respectively, snow and ice density in  $\text{kg}\cdot\text{m}^{-3}$  [Mätzler, 1992a]. Using the NARR forced snow information from SNOWPACK as input to MEMLS, a two-step iteration process for SWE retrieval was developed

such that simulated snow grain size was corrected using measured and simulated  $T_b$  (first iteration) prior to retrieving SWE (second iteration), also using measured and simulated  $T_b$  (Figure 2).

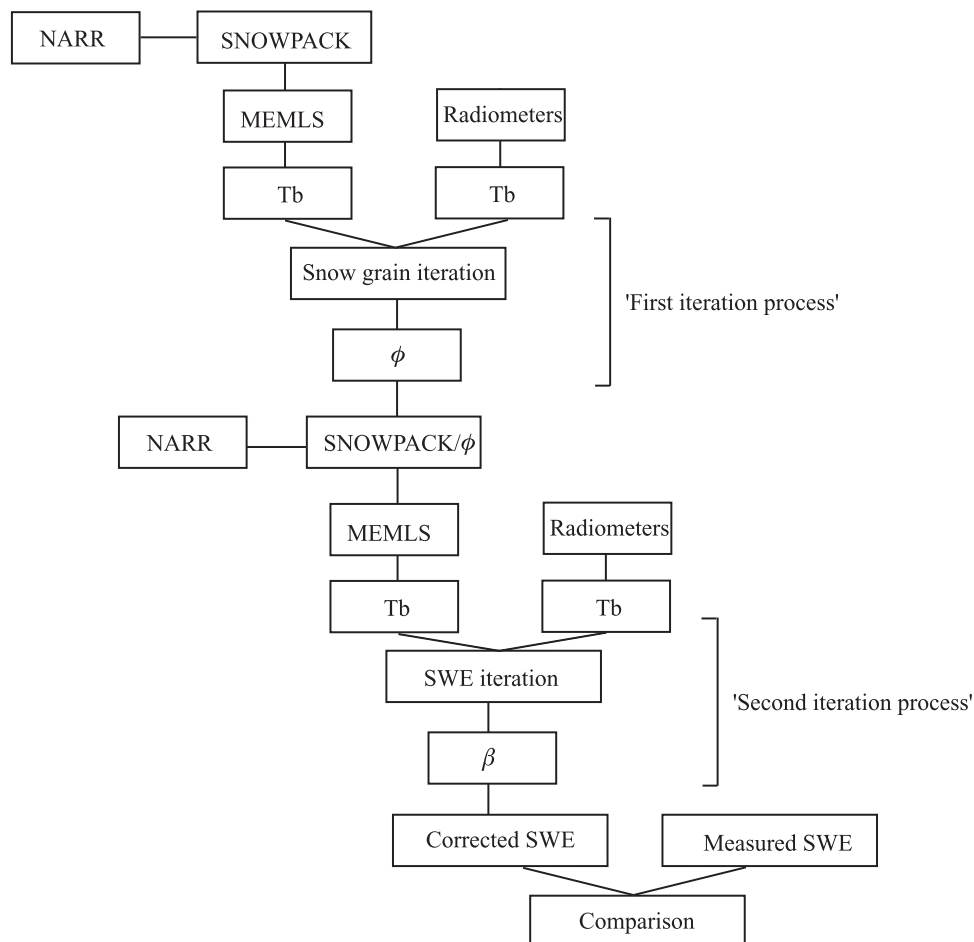
[18] By doing so, we avoid compensation through SWE for poor snow grain parameterization. We compared simulated and measured  $T_b$  and applied a correction factor (multiplying factor  $\phi$ , as depicted in Figure 2) on simulated correlation length ( $l_c$ ) values until a minimum root-mean-square error (RMSE) was reached. It was demonstrated by Lundy [2000] that SNOWPACK is able to predict the trends in density with some degree of accuracy ( $R^2$ : 0.83, mean-square error:  $66 \text{ kg}\cdot\text{m}^{-3}$ ), but uncertainties are related to incorrect calculation of grain size and bond. Predicting the rate of grain growth during equilibrium and kinetic-growth metamorphism is a complex task, and the physics used by SNOWPACK have not been extensively validated due to the complexity of measuring snow grains properly (lack of reference data). Another possible problem lies in the assignment of the initial grain size of new snow, which is set constant (i.e., standard value). Since the model only allows grain growth, no grain sizes less than this initial value are ever predicted (which leads to systematic overestimation of grain size), leading to low  $T_b$  simulations (i.e., through loss due to excessive scattering) and very

large RMSE. Snow grain simulations from SNOWPACK [Huang *et al.*, 2012] or other multilayered snow thermodynamic models such as CROCUS were investigated in other studies [Morin *et al.*, 2012; Brucker *et al.*, 2010]. They outline the problem of different definitions of “grain size” and treatment of its growth, leading to the need for adjustment prior to be coupled with a snow emission model. Thus, before focusing on the objective of this study (i.e., retrieve SWE), it appears necessary to show whether the snow model overestimates or underestimates snow grain size when compared to available in situ measurements, using which this bias can be corrected with passive microwave measurements.

### 3. Results and Discussion

#### 3.1. Snow Properties

[19] We selected sampling sites at which vegetation-free airborne brightness temperatures ( $T_{b\text{-SNOW}}$ ) were available. At each site, SWE was measured and compared to NARR-SNOWPACK runs. Results are highlighted in Table 2 for both IPY and CoReH2O campaigns. The differences between measured and modeled SWE values are highly variable from one site to another, and the relationship between modeled and measured SWE is depicted in Figure 3.



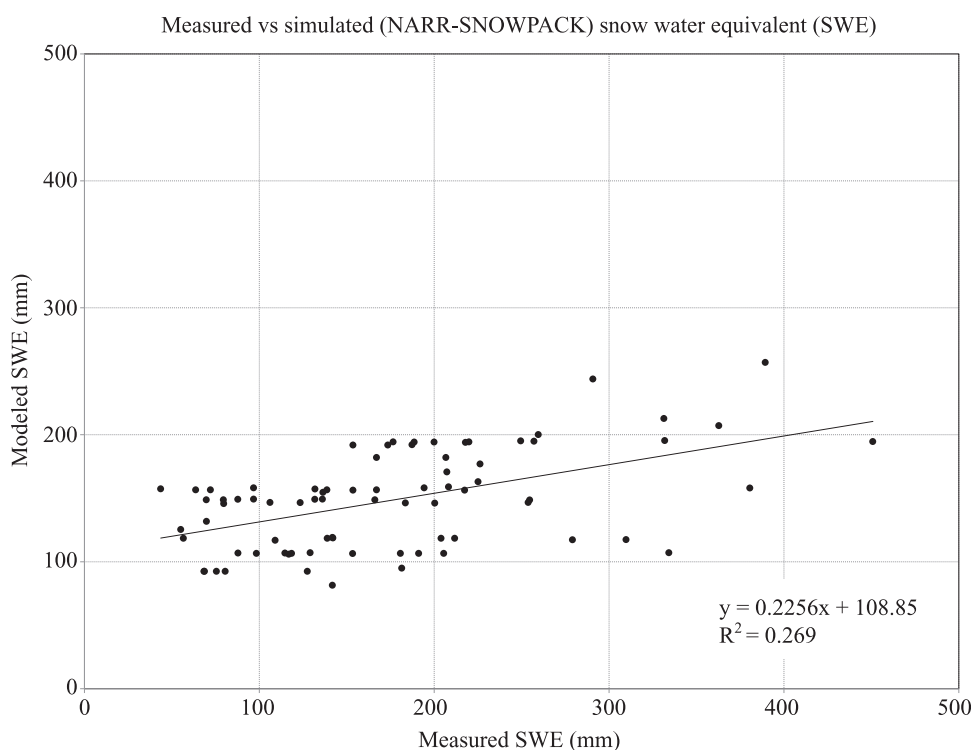
**Figure 2.** Two-step iteration method using measured and simulated brightness temperatures for predicted snow grain correction and SWE retrieval.

**Table 2.** Measured and Modeled SWE (NARR-SNOWPACK) for the IPY and CoReH2O Data Sets

Data Set	<i>n</i> Sites	SWE (mm)					
		Measured			SNOWPACK		
		Min.	Max.	Avg.	Min.	Max.	Avg.
IPY	36	43.6	450.8	189.2	125.4	256.9	173
CoReH2O	16	56.6	334.2	154.2	81.5	146.7	112.7

[20] The initial SWE predictions from NARR-SNOWPACK (no passive microwave measurements considered) are quite poor with a slope of 0.23, a  $y$ -axis intercept (offset) of 108.9 mm of SWE, an RMSE of 79.4 mm, and a mean bias of 26.5 mm. Overall, SNOWPACK largely underestimates SWE, and improving on this result using an iterative scheme to retrieve SWE from measured and modeled passive microwave brightness temperatures is the main objective of this article. It would be difficult to identify the forcing process behind the large modeled underestimation compared to observations. The performance of SNOWPACK in predicting SWE can vary from one site to another and is different from year to year [Langlois *et al.*, 2009]. One of the potential explanations is that local precipitation gauge measurements are highly uncertain in remote northern locations, and systematic biases can be significant [Yang *et al.*, 1999]. Northern areas are usually open, and wind disturbance can be significant, leading to lower catch efficiencies by the gauges (i.e., lower measured precipitation), which, in turn, leads to systematically smaller precipitation values in NARR and hence SWE values in SNOWPACK. This is in agreement with Langlois *et al.* [2009] that found

more accurate SWE simulations in southern Québec at a research station with proper maintenance and protected from wind disturbance. Because of its recent release, the strengths and weaknesses of NARR are largely undocumented [Mesinger *et al.*, 2006]. Although NARR provides much improved representation of precipitation when compared to other reanalysis products [Bukovsky and Karoly, 2007], Mesinger *et al.* [2006] identified some of the known weaknesses, including precipitation inaccuracies over Canada. Although it is hard to quantify the exact uncertainties in high latitude precipitation data, the work by Langlois *et al.* [2009] has shown reasonable NARR precipitation estimates over seasonal time scales in some areas. Furthermore, Figure 3 clearly shows important variability in measured SWE within one NARR pixel (i.e., one simulated SWE value by SNOWPACK). This variability is explained by different environments in which sampling occurred (fen, forest, open tundra). Hence, changing precipitations would only move the cluster up or down as shown in Figure 3, when clear improvement is needed on the slope. The SWE simulations need to be improved individually at each site (i.e., at the model level) rather than on precipitations that

**Figure 3.** Comparison between initial modeled SWE values from NARR-SNOWPACK (without consideration of passive microwave measurements) and field-measured values.

would logically not improve the above results. Finally, the modeled density values from SNOWPACK are generally underestimated, where the underestimation increases with increasing thickness. This leads to larger underestimation in SWE for deep snow, as discussed in Figure 6. The new snow density is a function of air and surface temperatures (range  $-12^{\circ}\text{C}$  to  $+2^{\circ}\text{C}$ ), wind speed, and relative humidity. The snow density estimations are based on statistical relationships from the Alps, and their applicability to other regions presented in this article needs further evaluation [Lehning *et al.*, 2002].

### 3.2. Simulated Snow Grain Size Correction

[21] The initial MEMLS  $T_b$  with SNOWPACK-derived grain size (no correction) varied between 80 and 150 K, which are unrealistically low values for these conditions. The SNOWPACK  $l_c$  values were initially predicted with an average of 0.82 mm, with values up to 1.9 mm. However, it was shown by Wiesmann *et al.* [1998] that typical snow has  $l_c$  values ranging between 0.06 mm (new snow) and 0.25 mm (depth hoar), which are much lower than those simulated by SNOWPACK. Hence, the resulting scattering from overestimated  $l_c$  values is significant when MEMLS is coupled directly to SNOWPACK.

[22] To address this issue, we coupled snowpit measurements (temperature, density, depth) with simulated (NARR-SNOWPACK) correlation length values and used the data as input to MEMLS to find an optimal scaling coefficient ( $\varphi$ ), which provides the lowest RMSE values between simulated and measured  $T_b$ . Initial RMSE values (i.e.,  $\varphi = 1$ , no correction on grain size) ranged between 136 and 170 K at 19 and 37 GHz (V and H pol.), as shown in Table 3. The minimum RMSE between simulated (using snowpit information and SNOWPACK  $l_c$  predicted values) and measured  $T_b$  was obtained with a  $\varphi = 0.1$  at all frequencies and polarizations, which produced RMSE values of 7.8, 8.1, 26, and 26.8 K at 19V, 19H, 37V, and 37H, respectively (Table 3). The corrected  $l_c$  values (SNOWPACK  $l_c$  reduced by  $\sim 90\%$ ) are closer to what was determined by Wiesmann *et al.* [1998]. Furthermore, the corrected  $l_c$  values are in agreement with IRIS measurements conducted in CH (Table 4). The high sensitivity of the IRIS system to grain size under controlled illumination provides improved retrievals of snow grain size information [e.g., Domine *et al.*, 2006; Montpetit *et al.*, 2011]. The reason why IRIS was not used to determine the  $\varphi$  is that the overarching goal is to be completely independent from field measurements (presented in the first iteration process; Figure 2). Nonetheless,  $l_c$  values from Table 4 are well below the initial values simulated by SNOWPACK ( $\varphi = 1$ ) but agree quite well with the corrected values using  $\varphi = 0.1$  obtained through the  $T_b$  iteration. This

**Table 4.** Correlation Length Derived From IRIS Measurements in CH Compared to Scaled SNOWPACK  $l_c$  Values

Method	Correlation Length, $l_c$ (mm)		
	Min.	Max.	Average
IRIS (197 measurements)	0.017	0.306	0.161
SNOWPACK $l_c-\varphi$	0.020	0.175	0.118

demonstrates just how important such a correction is, prior to any further iteration.

[23] Once the snow grain size information is corrected, the obtained RMSE in the SNOWPACK-MEMLS  $T_b$  includes errors related to other snow properties, but primarily to the density because the dielectric constant is largely controlled by density in dry snow conditions [e.g., Tiuri *et al.*, 1984; Hallikainen *et al.*, 1986; Mätzler, 1987; Huining *et al.*, 1999]. Hence, some differences in SNOWPACK modeled density were found in the initial runs when compared against field measurements (density generally underestimated by SNOWPACK), which can explain some of the differences between modeled and measured SWE (Figure 3). Hence, these differences were addressed by a second modeling iteration to retrieve SWE (see the flowchart in Figure 2). Assimilating SWE values without prior correction of unrealistic grain size representation would produce heavily biased simulations, so the  $\varphi$  correction factor was included in the SWE iteration. The use of simultaneous (two free variables: grain size and SWE) iteration method as proposed by Pardé *et al.* [2007] leads to larger errors than those proposed here. The successive iteration presented in this article (first snow grain size, then SWE) was already addressed in previous studies using spaceborne data [e.g., Pulliainen, 2006], but the results highlighted the difficulty of using such an iteration scheme in mixed pixels (i.e., given the low spatial resolution of passive microwave satellite data, many spatial features contribute to the signal). This constraint is well addressed in this article by using high spatial resolution airborne data with relatively homogeneous pixels.

### 3.3. SWE Retrievals Using Modeled and Airborne $T_b$ Iteration

#### 3.3.1. SWE Iteration Range

[24] Previous studies have shown that  $T_b$  values at 37 GHz typically decrease, as the scattering volume (i.e., SWE) increases, which is the basis behind most SWE algorithms using the difference between 19 and 37 GHz,  $\Delta T_b$  (a larger  $\Delta T_b$  due to decreasing brightness temperatures at 37 GHz with increasing snow depth) [e.g., Chang *et al.*, 1982; Mätzler, 1987]. However, with large values of SWE, this scattering behavior is no longer evident because the 37 GHz

**Table 3.** Initial RMSE Values Between Measured and Modeled Brightness Temperatures and Associated Values After  $\varphi$  Correction (Scaling Factor) on  $l_c$  Values

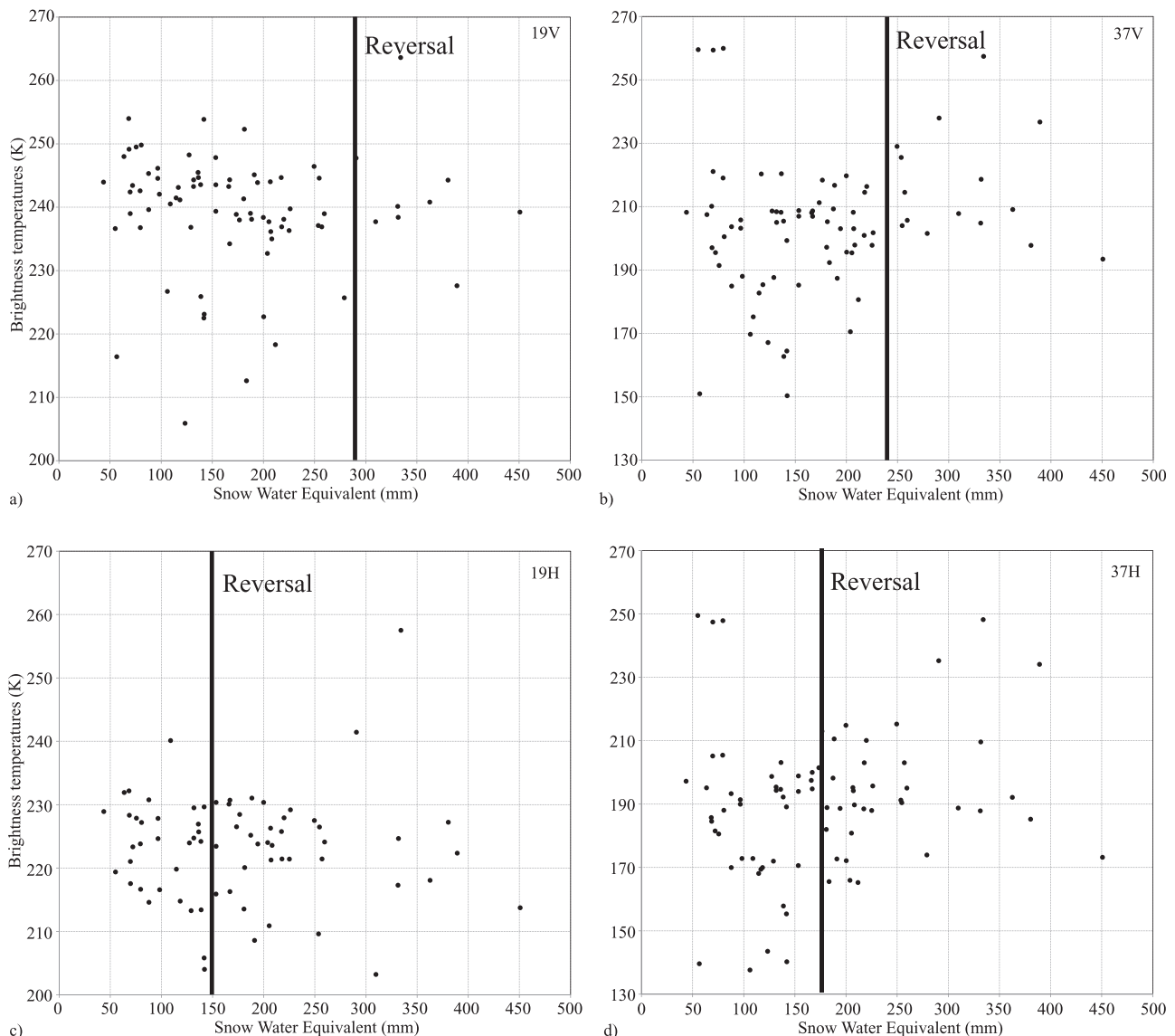
Source	$\varphi$	RMSE (K)							
		19V		19H		37V		37H	
		Initial	With $\varphi$	Initial	With $\varphi$	Initial	With $\varphi$	Initial	With $\varphi$
Snowpit-SNOWPACK	0.1	169.7	7.8	154.9	8.1	146	26	136.2	26.8



brightness temperature increases with higher SWE due to emission from the snowpack itself that masks the large scattering from large depth hoar grains [e.g., *Rosenfeld and Grody*, 2000; *Dong et al.*, 2005] (Figure 4). This reversal from the “classical”  $\Delta T_b$  pattern can cause ambiguity in SWE retrievals. Hence, to improve the accuracy of the SWE retrieval iteration, we limited consideration to cases where the effect mentioned above was not observed. By looking at the brightness temperature, we found that the reversal slope of the  $T_b$  versus SWE relationship occurs at measured SWE values larger than 148 mm (up to a measured maximum of 290 mm). This corresponds to snow depths of 65–105 cm at an average density of  $250 \text{ kg}\cdot\text{m}^{-3}$ . The 148 mm threshold was determined using the minimal  $T_b$  values of a second-degree polynomial fit on averaged ranges of SWE with 25 mm increment (which did not show statistical significance).

[25] Obviously, the slope reversal depends upon snow physical properties (i.e., grain size; stratigraphic properties)

and dielectric properties that are highly variable spatially and temporally. For instance, *Qiu et al.* [2011] demonstrated that this reversal can be highly dependent on snow grain size where the reversal occurs at relatively deeper snow with small snow grain size values. However, many differences exist between various studies. Most differences arise from the different polarizations (V versus H, with lower penetration depth for H-polarized  $T_b$  causing an earlier reversal, as seen in Figure 4). Also, given the environment (boreal, taiga, tundra, sea ice, etc.), large differences in  $T_b$  can be measured arising from highly variable dielectric properties, which are governed by density, temperature, and wetness. For instance, *Markus et al.* [2006] simulated a slope reversal using  $\Delta T_{b19\text{H}-37\text{H}}$  at about 90 cm of snow depth (SWE of 245 mm for density of  $250 \text{ kg}\cdot\text{m}^{-3}$ ), whereas the limit is set at 50 cm (SWE of 140 mm for density of  $250 \text{ kg}\cdot\text{m}^{-3}$ ) in *Kelly et al.* [2003]. Using Special Sensor Microwave/Imager (SSM/I) data, *Rosenfeld and*



**Figure 4.** Measured brightness temperature (ground and airborne) as a function of SWE at 19 GHz in the vertical polarization, (a) 19V, (b) 37V, (c) 19H, and (d) 37H. The slope reversal in brightness temperature versus SWE is marked by the bold vertical line.

Grody [2000] observed the reversal at 37V at depths of 40–50 cm. However, one must be careful comparing these findings, since most of evaluations do not take into account vegetation contributions to the signal [e.g., *Kruopis et al.*, 1999; *Pampaloni*, 2004; *Pardé et al.*, 2005; *Lemmetyinen et al.*, 2009; *Langlois et al.*, 2010b, 2011], nor atmospheric effects [e.g., *Kerr and Njoki*, 1990; *Mätzler*, 1992b]. Both effects, which can be neglected in our study since we are using ground and airborne radiometer measurements over vegetation-free areas, can have a significant impact on  $T_b$ , leading to a biased slope reversal threshold. In fact, *Derksen et al.* [2010] showed that the reversal occurred at  $\sim 130$  mm using airborne data, whereas lower SWE threshold was observed using satellite measurements. In light of this, we corrected SWE using measured and modeled brightness temperatures at all frequencies and polarizations, and best results were obtained combining 19V and 37V. From this result, we conducted the second iteration over the 0–290 mm SWE range (290 mm being the limit observed for 19V in Figure 4a).

### 3.3.2. $\beta$ Correction Factor for SWE Retrieval

[26] As highlighted in Figure 2, we modified the SNOWPACK-modeled SWE values (using a  $\beta$  factor: when  $\beta \text{SWE}_{\text{SNOWPACK}} = \text{SWE}_{\text{measured}}$ ) using an iterative scheme (minimizing RMSE between observed and modeled  $T_b$  by changing SWE values in the input SNOWPACK data) using the snow grain corrected ( $\varphi$ ) MEMLS output data from the first iteration process (Figure 2). Two different approaches were tested:

[27] 1. A fixed correction factor,  $\beta$ , was applied to the SNOWPACK-modeled SWE (“ $\beta$  fixed” in Table 5). The  $\beta$  is obtained when the RMSE between measured (radiometer) and simulated (MEMLS)  $T_b$  is minimized for all sites combined (i.e., same correction on SWE for each site).

[28] 2. Adjustable  $\beta$  values were computed individually at each site to minimize the difference between  $T_{b\text{-rad.}}$  and  $T_{b\text{-MEMLS}}$ .

[29] From the first approach, the lowest RMSE value on  $T_b$  obtained from the iteration was with  $\beta = 1.35$  (i.e., modeled SWE values multiplied by 1.35; Figure 5). Although this correction method improves the slope (from 0.23 in Figure 2 to 0.53 in Figure 5), the RMSE (from 79 to 55 mm), and  $R^2$  (from 0.27 to 0.45), the offset increases from 109 to 121 mm, highlighting the need for further improvement.

[30] It appears that the difference between measured and modeled SWE varies with the magnitude of the in situ SWE measurements, which largely explains the poor results in Figure 5. The correlation observed between the measured and modeled SWE differences with the in situ SWE measurements (Figure 6) shows that measured values below 148 mm (corresponding to 148 mm modeled) are lower than predicted values from SNOWPACK. Hence, a more appropriate strategy would be to obtain a  $\beta$  correction factor individually for each site providing the lowest RMSE value

between modeled and measured  $T_b$  (i.e., SWE value where  $T_{b\text{-MEMLS}} \approx T_{b\text{-MEASURED}}$ ). To establish the reliable range variation of the  $\beta$  correction factor, we computed the optimal  $\beta$  values that would provide the perfect match obtained with  $\text{SWE}_{\text{measured}}/\text{SWE}_{\text{SNOWPACK}}$ . Those values ranged between 0.4 and 1.9, where values below 1 decrease modeled SWE. We then coupled SNOWPACK and MEMLS using the whole  $\beta$  range (0.4–1.9, step of 0.1) and used the  $\beta$  value as a free parameter that provided the best simulation (i.e., where MEMLS  $T_b$  was closest to radiometer measurements).

[31] Since predicted SWE values below 148 mm (value derived from regression in Figure 6) are overestimated by SNOWPACK (Figure 6), their  $\beta$  correction factor should theoretically be  $< 1$ , whereas modeled values over 148 mm should have a  $\beta > 1$ . Hence, for sites where  $\beta$  values obtained through the iteration did not obey that rule, we simply applied a fixed negative/positive  $\beta$  value ( $\beta = 0.7$  for sites that obtained a  $\beta > 1$  for SWE  $< 150$  mm, and  $\beta = 1.45$  for sites that obtained a  $\beta < 1$  for SWE  $> 148$  mm). Such cases can occur under various circumstances of which further analysis is beyond the scope of this article. The forcing values of 0.7 and 1.45 provided the best results, and the obtained corrected modeled SWE values are improved further when compared to a fixed  $\beta = 1.35$  (slope,  $y$ -axis intercept, and  $R^2$ ) as shown in Figure 7 and Table 5 (“ $\beta$  adjustable” in Table 5).

[32] The relationship between predicted values (with  $\varphi$  and  $\beta$ ) shows an improved slope,  $R^2$ , and  $y$ -axis offset and an RMSE of 65.4 mm (Table 5). Thus, the method suggested here improves SWE predictions compared to stand-alone simulations with a physical snow model driven by regional reanalysis. A similar approach was developed by *Foster et al.* [2011]; however, their approach requires in situ information (not always representative), whereas our methodology is completely independent from surface observations.

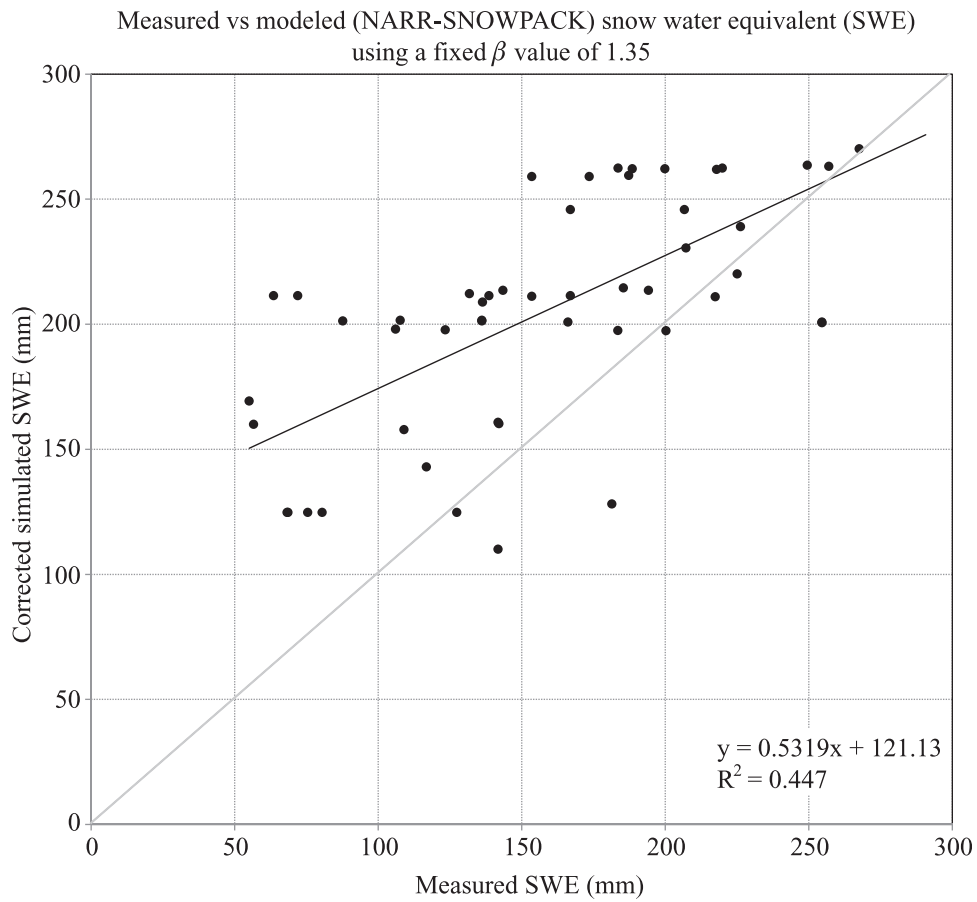
### 3.4. Validation

[33] The method developed above was tested against an independent data set, also acquired during the 2008 IPY campaign near the community of POV (59.8 N–76.45W; see Figure 1). Coincident airborne brightness temperatures and in situ SWE measurements were collected along a transect, spanning two NARR pixels (hence, two NARR-SNOWPACK SWE values). Nearly 5000 snow depths were measured in 5 days, then converted to SWE using average density determined from snow core measurements and were also measured along the snow depth transects (see *Derksen et al.* [2010] for a complete description). The airborne radiometer footprint was about 100 m, within which measured SWE values were averaged (between 10 and 85 SWE measurements in each footprint), leading to a total of 109 points used in the validation.

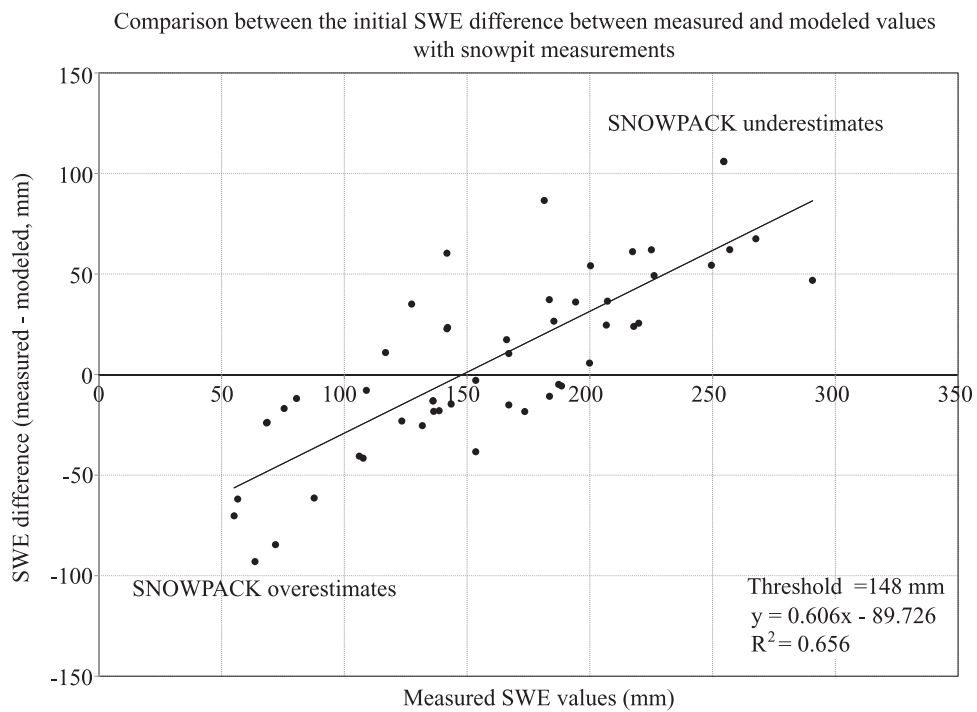
[34] Obviously, one can expect a poor relationship between NARR-SNOWPACK modeled versus measured SWE (Figure 8a), given that only two modeled SWE values are available and compared against 109 in situ measurements, which were strongly affected by local-scale variability. This is also the reason why no validation was conducted using Advanced Microwave Scanning Radiometer–EOS data. However, for each of the 109 footprints,  $\beta$  values were found using the methodology presented in this article. We

**Table 5.** Summary of Initial and Corrected SWE Simulation Statistics Compared to Field Measurements

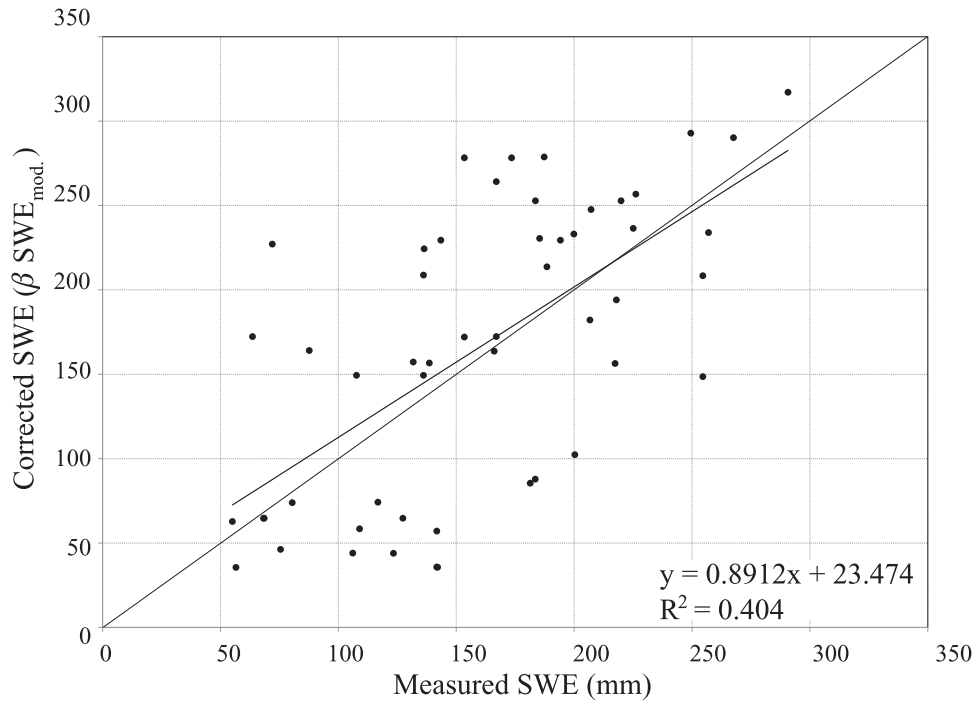
SWE Simulations	Slope	$y$ -Axis Offset (mm)	$R^2$	RMSE (mm)
Initial	0.226	108.85	0.269	79.4
$\beta$ fixed	0.532	121.13	0.447	54.9
$\beta$ adjustable	0.891	23.474	0.404	65.4



**Figure 5.** Comparison between modeled SWE values from NARR-SNOWPACK ( $\varphi$  scaling applied to grain size) using a fixed  $\beta$  value of 1.35 (multiplying factor) and measured values.



**Figure 6.** Difference between measured and modeled SWE as a function of measured SWE.



**Figure 7.** Comparison between modeled SWE values from NARR-SNOWPACK ( $\varphi$  scaling factor applied to grain size) using variable  $\beta$  values (multiplying factor) for each site using a 148 mm threshold (second iteration process, see Figure 2).

retrieved corrected modeled SWE and compared them against field measurements (Figure 8b). Results show that our method clearly improves SWE simulations from SNOWPACK, even with the very high spatial variability measured on the ground. The average standard deviation of measured SWE within the two NARR pixels is 57 mm (min. 14 mm, max. 148 mm).

[35] However, potential sources of error can arise from field measurements of SWE and the inherent spatial variability. Although the latter cannot be corrected but only quantified, it remains a potential source of error. As displayed in Figure 8 for the validation, this spatial variability varied between 14% and 99% within the radiometer footprints (approximately 100 m  $\times$  100 m). *Shook and Gray* [1996] measured the standard deviation of snow depth at sampling distances ranging between 1 m and 1 km and showed that the standard deviation within distances of about 30 m is representative of larger scales [Clark *et al.*, 2011]. However, this specific 30 m spatial variability was not systematically measured on the field and has to be measured in tundra environments. This is crucial to SWE retrievals using coarse spatial resolution passive microwave satellite data and can account for observed biases and explain some of the errors observed in Figures 7 and 8, given the scale differences between snowpit measurements (local) and simulations (NARR-SNOWPACK at  $\sim$ 32 km).

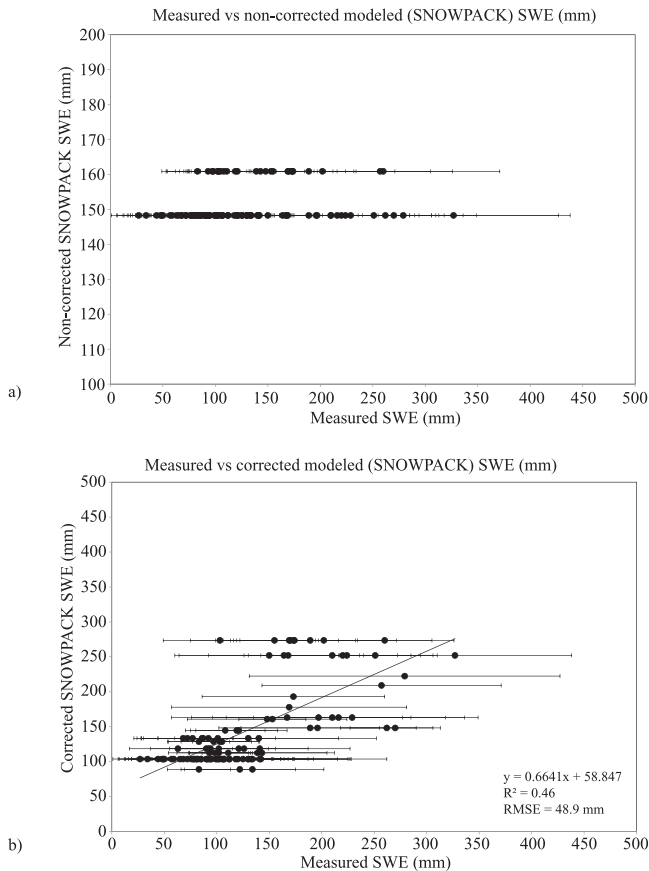
#### 4. Conclusions

[36] We coupled a snow thermodynamic model (SNOWPACK) driven by regional reanalysis data (NARR) with a layered snow emission model (MEMLS) to improve simulations of SWE, completely independent from any surface

observations (using the  $\beta$  values from section 3.3.2 considered to be representative). To evaluate the snow model, simulations were compared to in situ measurements from two different field campaigns. We first showed that the initial SWE simulations (without the use of any passive microwave measurements) contained large errors with a regression slope of 0.23 and a  $y$ -axis offset of 109 mm. The initial  $R^2$  and RMSE were measured 0.27 and 79 mm, respectively.

[37] To improve this result, we coupled the snow model output to a layered microwave snow emission model. First, the poor grain characterization by the snow model was corrected using an iterative scheme where the simulated snow grain was modified (scaling factor,  $\varphi$ ) until a minimum difference in brightness temperatures ( $\Delta T_{b-\text{MIN}}$ ) was found between measured (radiometer) and modeled (MEMLS) data. The most appropriate scaling factor was found to be 0.1 for all sites, which was then applied to the snow model output. The SNOWPACK output data (with corrected snow grain size using  $\varphi$ ) was again coupled to MEMLS for a second iteration to retrieve SWE (i.e., modifying SWE until  $\Delta T_{b-\text{MIN}}$  was found). A scaling factor for SWE ( $\beta$ ) was found for all sites collectively (minimum RMSE) at 1.35. Further improvement occurred when using two  $\beta$  values for underestimated and overestimated SWE values, which produced significant improvement on the slope,  $y$ -axis intercept,  $R^2$ , and RMSE between modeled SWE (with the two iteratively determined scaling factors) and measured SWE.

[38] It is important to understand that the rather large uncertainties in snow model predictions of SWE and grain size (in our case: SNOWPACK) can be attributed to (1) the model itself and how it treats physical processes such as metamorphism and compaction and (2) the input meteorological



**Figure 8.** Comparison between modeled and measured SWE (a) before and (b) after the iterations with measured brightness temperatures. Measurements are from transects near the POV (Figure 1). In (a), data span two NARR pixels (therefore, two NARR SWE values). Horizontal lines correspond to the standard deviation of the in situ SWE measurements.

data. It is thus hard to compare and identify key components of the models that are problematic. The overall objective of this article was not to identify and correct weaknesses in SNOWPACK but rather to investigate if  $T_b$  can be used to correct initial biases in the snow model whether they come from the model of the input data and without any other source of information on the snowpack. A comparison between similar snow models was conducted in the framework of Snow Model Intercomparison Project (SnowMIP) and by *Langlois et al.* (2009). In this latter analysis, results showed similar biases in three models, namely, SNOWPACK, CROCUS, and SNTherm. The use of another model performing better in SWE of snow grain simulations would simply change the level of correction needed ( $\varphi$ ,  $\beta$ ), but the overall improvement would not necessarily change. Improving the physical treatment of SNOWPACK is out of the scope of this study.

[39] Validation using an independent snow survey data set over tundra with strong local-scale variability showed promising results, with a RMSE of 49 mm, and we showed that our method can be applied over a wide range of SWE values (45–260 mm). Furthermore, most studies presented in section 1 are using satellite-passive microwave data, which

include  $T_b$  contributions from various surface characteristics such as roughness, spatial variation in snow thickness and thermophysical properties, and snow grain size. Hence, the exact nature of each contribution is hard to quantify at the satellite scale and remains poorly studied. The airborne-derived brightness temperatures used in this article are generally more sensitive to plot-scale characteristics, increasing the challenge of SWE retrieval. On the other hand, this increased sensitivity to snow properties allowed the correction for poor grain simulations by the snow model ( $\varphi$ ), which represents a step forward for iterative schemes (for future spaceborne retrievals). We showed that coupling a snow thermodynamic model with a microwave snow emission model without accounting for poor grain parameterization uncertainty leads to very large errors, with RMSE > 100 K in brightness temperatures. A simple two-step iterative procedure (first iteration on snow grains and second on SWE) driven by meteorological reanalysis and without any in situ snow information allows similar SWE retrieval accuracy when compared to an assimilation scheme that requires in situ snow information [*Takala et al.*, 2011]. The *Takala et al.* [2011] study identified RMSE values ranging between 23 and 73 mm over Eurasia (depending on the season) and between 21 and 70 mm over Canada (depending on land cover).

[40] In this study, we covered a wide range of measurements from very low values that can be expected in the fall to high values expected at the end of winter. Furthermore, we covered an extensive area (>2000 km) encompassing several environments (boreal, taiga, tundra) with specific physical processes governing snow accumulation and transport. This said, with proper assessment of the various contributions to  $T_b$  (i.e., topography, vegetation, atmosphere), we believe the data set to be representative of subarctic regions and that the method and threshold can be regionally applied. Prior to doing so, the next intuitive step would be to extend the validation of the method using a multiscale (in situ, airborne, spaceborne) approach. The differences in errors observed at the various scales using the same field SWE reference measurements averaged at the different scales will explain the potential source of errors at the satellite scale. The proposed simplified approach could also be applied regionally with a snow model or within the land surface scheme of a regional climate model to potentially improve snow monitoring. Furthermore, the physical processes driving the initial SNOWPACK model biases in SWE such as precipitation parameterization, treatment of albedo and density (starting values and temporal evolution), sublimation/erosion, and their seasonal evolution should be addressed in a dedicated study.

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