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Active and Passive Microwave Remote Sensing of Precipitating Storms During CaPE. Part I: Advanced Microwave Precipitation Radiometer and Polarimetric Radar Measurements and Models

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With 16 Figures

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Summary

The Advanced Microwave Precipitation Radiometer (AMPR), an across-track scanning, four-channel (10.7, 19.35, 37.1, 85.5 GHz) total-power radiometer system, was instrumented aboard a NASA ER-2 aircraft during the 1991 CaPE (Convection and Precipitation/Electrification) project in central Florida. At a 20 km flight altitude, the AMPR provides fine-scale microwave imagery of Earth surfaces and its atmosphere, and is well-suited for diverse hydrological applications. During overflights of precipitation, coincident ground-based radar measurements were taken with the NCAR CP-2 dual-frequency, dual-polarization radar system. After remapping the radar data into a format compatible with the AMPR scanning geometry, the radar-derived profiles of rain, melting, and frozen hydrometeors are compared against the AMPR equivalent blackbody brightness temperature (T_B) imagery. Microwave radiative transfer modeling procedures incorporating the radar-derived hydrometeor profiles were used to simulate the multifrequency AMPR imagery over both land and ocean background ER-2 flights. Within storm cores over land, columnar ice water paths up to 20 kg m^{-2} gradually depressed the 85 GHz T_B as low as 100 K. The presence of tall vertical reflectivity columns encompassing $> 20 \text{ kg m}^{-2}$ columnar ice water path often produced 37 GHz $T_B < 85 \text{ GHz } T_B$ directly over the core. Over ocean, the 10 GHz channel provided the clearest correlation with the rainfall amounts, whereas the 19 GHz channel saturated near 260 K past $10\text{--}15 \text{ mm hr}^{-1}$ rain rate

as determined by radar. Scattering by ice and melting ice at 37 GHz produced T_B ambiguities over both raining and clear-ocean regions. Sensitivity to the columnar mixed phase region via the intermediate frequencies (19 and 37 GHz) is demonstrated and explained with the radar-derived T_B modeling. By superimposing vertical profiles of cloud liquid water (which this radar cannot measure) upon the radar-inferred hydrometeor structure, additional information on the location of the peak cloud water and its amount relative to the vertical ice structure can be noted, along with a possible inference of the dominant ice particle size within the upper storm core.

These results suggest that as the resolution of passive radiometric measurements approaches dimensions where the antenna beams become increasingly filled by the cloud, precipitation retrieval via multifrequency T_B input is well-suited to a vertical profiling-type algorithm. This is further examined in Part II of this manuscript, where the radar-derived vertical hydrometeor profiles are used to test the applicability of a multispectral cloud model-based approach to passive microwave precipitation retrieval from space.

I. Introduction

Spaceborne remote sensing satellites employing combinations of active and passive microwave sensors are planned to meet the need for reliable

estimates of rainfall and liquid and ice water content. Noteworthy instruments include the Tropical Rainfall Measuring Mission (TRMM) satellite, carrying the TRMM Microwave Imager (TMI) as well as a 14 GHz radar, and the European Space Agency's Multifrequency Imaging Microwave Radiometer (MIMR). The current series of DMSP Special Sensor Microwave Imager (SSM/I) sun-synchronous satellite radiometers have proven the applicability of microwave channels between 19 and 85 GHz in measurements of the surface and atmospheric parameters which drive the Earth's hydrological cycle. In addition to precipitation, these parameters include sea surface temperature, sea ice, water vapor, cloud water, snow cover and soil moisture. For the SSM/I, the on-Earth resolution varies from 16×14 km at 85.5 GHz to 70×45 km at 19.35 GHz, where in most instances, the latter is considerably greater than the dimensions of typical mid-latitude or tropical precipitating cloud systems. Since the antenna beamwidth can be filled with a mixture of precipitating and non-precipitating cloud as well as the underlying surface, the footprint-averaged rain rates determined by multispectral T_B observations will vary widely. This tendency is well-recognized and several techniques have been developed for mitigation (Prabhakara et al., 1992; Grody, 1991; Liu and Curry, 1992). Radiometers such as TMI will provide resolutions ranging from 37 km at 10 GHz to 5 km at 90 GHz. As a result, the multifrequency T_B response from satellite radiometers will vary quite differently as the instrument spatial resolution begins to approach the dimensions at which rainfall is generated in typical cloud bands, and precipitation retrieval techniques developed with SSM/I-sized measurements may not be applicable or require modification.

With their lower altitude observational platform, airborne passive microwave radiometric measurements can be made at a much finer scale than their spaceborne counterparts. In particular, the NASA ER-2 research aircraft fleet, with their 20 km flight altitude, are ideal for remote measurements over the tallest thunderstorms. Hakkarinen and Adler (1988) analyzed 92 and 183 GHz imagery from the airborne Advanced Microwave Moisture Sounder (AMMS) and compared the results with ground-based radar imagery. Regions of T_B depressions over precipitation showed agreement with the radar reflectivity at heights of 6 to 7 km.

In 1986, the Cooperative Huntsville Meteorological Experiment (COHMEX) provided the opportunity to gather ER-2 passive radiometric measurements over precipitating clouds at 18, 37, 92, and 183 GHz along with support from the National Center for Atmospheric Research (NCAR) CP-2 multiparameter radar. Fulton and Heymsfield (1991) analyzed several flight lines and tied the evolution of the CP-2 dual frequency ratio hail signal (DFR-HS), linear depolarization ratio (LDR), and differential reflectivity Z_{DR} to the corresponding multifrequency T_B features. Vivekanandan et al. (1990) attempted to reproduce the multifrequency T_B over the core region using simple radar-derived vertical microphysical profiles of rain, melting, and ice hydrometeors in a radiative transfer model. Kummerow et al. (1991) employed the COHMEX T_B data in a statistical regression procedure which reconstructs a multi-layered vertical hydrometeor profile. The two part series by Adler et al. (1990) and Yeh et al. (1990) used reflectivity-mass content relationships to derive rain and ice profiles, and compared the results of a microwave radiative transfer model with the COHMEX T_B data. Mugnai et al. (1990) performed a cloud model simulation from a COHMEX storm and used the results to model the radiometric behavior at SSM/I frequencies.

In retrospect, one difficulty associated with the COHMEX data interpretation was due to differences in the radiometer viewing. The 18 and 37 GHz measurements were taken in a 45° ahead non-scanning stare mode along the flight track, while the 92 and 183 GHz measurements were taken with the AMMS in an across-track scanning mode. Hence, the low frequency channels viewed the cloud along a slant path at an earlier time than the higher frequency channels. Most investigators chose to apply a temporal offset to the data to align the 37 and 92 GHz T_B minima over the storm core, but the differing view angle still remained. Nonetheless, many important discoveries relating the radar observables to the fine-scale T_B observations were gained for the first time.

The following measurements and modeling employ a relatively new instrument, the Advanced Microwave Precipitation Radiometer (AMPR), designed and built to meet the specifications provided by the NASA Marshall Space Flight Center (MSFC) (Spencer et al., 1993). At AMPR resolutions, the radiometric behavior of precipitating cloud structures is quite different than that

associated with larger SSM/I-sized resolutions. The AMPR is specifically designed to mount aboard an ER-2 and operates four channels between 10 and 85 GHz. Upwelling scene emission is directed at a common rotating reflector for all four channels in an across-track scanning operation. The 10 GHz channel is especially useful for observing moderately high precipitation over the ocean, under which conditions a 19 GHz channel may saturate or begin to exhibit scattering effects. During October 1990, engineering test flights were taken over the Gulf of Mexico, which have been reported by Smith et al. (1993). Their results demonstrated marked improvement in a precipitation retrieval algorithm over ocean by comparing retrieval results with and without the inclusion of the 10 GHz channel. In summer 1991, first flights during a field experiment were realized during the Convection and Precipitation/Electrification (CaPE) experiment near Kennedy Space Center, Florida, with the NCAR CP-2 radar again providing multiparameter radar support. Within the realm of polarimetric radar remote sensing of precipitation, one attempts to retrieve information regarding the water phase, location, and content, the associated distributions of the particle size, and to a certain extent, the particle shape and orientation. For applications related to radiative transfer, it is mainly the drop size distribution (DSD) of the rain and ice particles that controls the overall emitted, absorbed, and scattered radiation. Development of fast-switching dual polarization radar systems have enabled the measurement of additional independent radar parameters, such as differential reflectivity Z_{DR} and differential propagation phase ϕ_{DP} which, for example, have potential to estimate parameters describing a modified gamma size distribution of rain drops (Sachidananda and Zrnica, 1986; Balakrishnan and Zrnica, 1990). However, extensions to frozen precipitation amounts are not yet fully understood. Polarimetric studies might be relevant in pristine crystals which exhibit differential scattering characteristics, but hail, graupel, and low density snow particles display little polarimetric dependent signatures at common weather radar wavelengths.

It is not difficult to envisage situations where there are various mixtures of rain, hail, cloud water, and melting occupying volumes comparable to typical pulsed Doppler radar resolution volumes ($\approx 1 \text{ km}^3$). While the mixed phase region above

and below the 0°C isotherm level provides the most telltale signature of the overall storm state (growth and decay, overall intensity), it is very difficult to apportion polarimetric radar measurements into individual contributions from different categories. Measurements of the linear or circular depolarization ratio are useful for identifying regions of wet growth (such as an active, growing cell undergoing a transformation from suspended supercooled rain into a glaciated state) or steady decay and melting (the stratiform bright band) (Herzogh and Jameson, 1992), but at present, few attempts have been made to incorporate depolarization measurements in a quantitative fashion. Vivekanandan et al. (1992) have investigated the polarimetric behavior of mixtures of rain and hail using a technique which combines the individual Mueller matrix describing each category in the mixture.

This two part paper couples the efforts of several research groups in the United States and Italy in a thorough analysis of the CaPE measurements from 12 August 1991, including radar microphysical retrievals, combined radar-radiative transfer modeling, and the application of a multispectral, cloud model-based precipitation retrieval algorithm to the AMPR measurements. In this Part I section, research has been directed towards improving previous radar-radiometer comparisons by aligning the CaPE AMPR imagery with the radar-derived hydrometeor profiles and radiative transfer model results (Vivekanandan et al., 1990). The relative sensitivity of the various AMPR channels to the columnar integrated rain, mixed phase, and ice water contents and rain rates is presented. The CP-2 radar derived hydrometeor drop size distributions (DSD) are outlined in Section 4, and microwave radiative transfer model results using the radar-derived DSDs are presented in Section 5. Part II will focus upon application of a multispectral cloud model-based hybrid precipitation retrieval algorithm (Smith et al., 1992; Mugnai et al., 1993) to the same AMPR measurements investigated here. The two approaches will be compared for consistency.

2. AMPR and Radar Instrumentation During CaPE

The AMPR is specifically assembled to mount and operate within the lower pod of an ER-2 aircraft (Spencer et al., 1993). It utilizes the identical

multifrequency feedhorn (MFFH) as the current DMSP Special Sensor Microwave/Imager (SSM/I) for its 19.35, 37.1, and 85.5 GHz channels, with a separate feedhorn for the 10.7 GHz channel. For brevity, we will refer to these as the 10, 19, 37, and 85 GHz channels. A flat reflective plate is used to direct the upwelling scene emission through a dielectric lens/feedhorn assembly. Nadir resolutions on the Earth's surface are 2.8 km at 10.7 and 19.35 GHz (these two channels have matched beamwidths), 1.5 km at 37.1 GHz, and 640 meters at 85.5 GHz. A stepper motor spins the reflector such that the observed scene is scanned across-track, starting at $+45^\circ$ from nadir (pilot's right), through nadir out to -45° (pilot's left), and then repositions itself at $+45^\circ$ and repeats. Each scan/reposition cycle requires 3 seconds, during which time the aircraft moves forward about 700 meters. After every fourth scan, the reflector moves up into a "stare" mode while alternately viewing hot and cold reference loads. The scan rate is sufficient to provide contiguous mapping at 85.5 GHz, with spatial oversampling occurring for the three lower frequencies. With an receiver integration time of 50 ms, enough time is available for fifty integrator samples across-track. For an ER-2 flight altitude of 20 km, the total across-track swath width on Earth is ≈ 40 km.

Unlike the SSM/I, the AMPR currently uses a single MFFH port for each of the channels, with the feedhorn axes mounted such that the receive polarization state at nadir is linear 45° . Consequently, the receive polarization state is always linear, changing from fully horizontal (H) at the scan start to fully vertical (V) at the scan end (i.e., moving along the equator of the Poincare sphere). A rotating polarization basis of the instrument causes a skew of the instrument's polarization basis with respect to the scene's polarization basis (Gasiewski and Kunkee, 1992). With the current AMPR feedhorn configuration and a single receiver per frequency, it provides true scene H and V T_B only at each of its scan endpoints. However, its polarization state always remains a linear combination of the scene H and V across the scan according to

$$T_B(\theta) = T_B^H \cos^2(\theta - 45^\circ) + T_B^V \sin^2(\theta - 45^\circ) \quad (1)$$

where θ ranges from 45° at the scan start to -45° at the scan end, and T_B^H and T_B^V are the H and V T_B in the scene polarization basis. Using 10.7 GHz

AMPR scans over a cloud-free ocean, Vivekanandan et al. (1993) employed Eq. 1 with a radiative transfer model and demonstrated agreement to within ± 2 K across the scan. The degree of polarization change remains minimal within $\pm 15^\circ$ of nadir.

In time synchronization with the ER-2 overflights, measurements were gathered with the National Center for Atmospheric Research (NCAR) CP-2 dual-frequency, dual-polarization radar system. The CP-2 radar operates in a linear polarization basis at both S (3 GHz) and X (10 GHz) frequency bands (Bringi and Hendry, 1990). At S band, a high-power ferrite circulator is used to alternate the receive and transmit polarization states between horizontal (H) and vertical (V) polarization states with a 1 ms PRT, enabling the measurement of the differential reflectivity $Z_{DR} = 10 \log(Z_H/Z_V)$ where H and V refer to the associated copolar reflectivity factors in $\text{mm}^6 \text{m}^{-3}$. The X band data are not employed here, due to rapid propagation attenuation towards the receiver noise floor before ever reaching the storm core region. For a discussion of the X band CP-2 measurements and their application to radiometric observations of hailstorms, we refer to the analysis performed by Fulton and Heymsfield (1991).

3. AMPR and Radar Imagery During CaPE

Early flight lines during 12 August 1991 from 2030–2200 UTC concentrated on a large precipitating complex located approximately 100 km south of the CP-2 radar. The bulk of the precipitation was over land just inland from the Atlantic coastline, but slowly moved to the east over the Atlantic Ocean after 2130 UTC. This lengthy range from the radar increases the maximum dimension of the radar resolution volume to ≈ 1.7 km along azimuth and elevation directions. Although this is not an ideal situation, the relatively coarse scale is comparable or better than the nadir ground resolution of all AMPR channels except 85 GHz. Interpretation of the polarization measurements at this range is also hindered by antenna sidelobe effects. The net effect is a smoothing of the radar parameters as successive radar beams overlap each other, in much the same fashion that adjacent-pixel 10, 19, and 37 GHz AMPR channels oversample as the scan moves across-track.

The entire storm was scanned in a PPI mode within three minutes during each ER-2 overpass.

3.1 Precipitation Over Land

During 2059–2103 UTC, the ER-2 moved along a 25° flight bearing over a precipitating cloud structure centered ≈ 110 km south of the CP-2 radar, and headed over the Atlantic Ocean after 2104 UTC. The flight track covers an along-track distance of ≈ 50 km and is plotted in the 3 km Z_H constant-altitude PPI (CAPPI) in Fig. 1. The coordinates are with respect to the CP-2 radar. The 10 km CAPPI (not shown) illustrates an unusually high reflectivity, widespread ice region. The first column in Fig. 2 displays the 4 channel AMPR color imagery during this four minute flight interval. The images are plotted with flight time along the abscissa and AMPR scanning angle along the ordinate, with the flight line along the nadir (0°) angle. At 10 GHz, the Atlantic ocean coastline is visible to the very lower right of the image through a series of tight contours between the radiometrically warm land (260–280 K) and the cool ocean (< 120 K).

Two main features are noted in against the warm land background. At 10 GHz, two 180 K regions are visible near the -30° angle, and near the center a region of 240 K is noted. The 19 GHz image shows that the features near the -30° scan angle have warmed with respect to 10 GHz, a trend associated with reduced emissivity wet soil (rain fallout from earlier storm passage) or the presence of surface water. The center region has cooled by 60 K with respect to 10 GHz, a trend usually associated with land-based precipitation. It is worth mentioning that the 19 GHz T_B over the core (180 K) is comparable to the coolest 18 GHz T_B observed during the COHMEX experiment (Adler et al., 1990), even though the viewing angles were different.

As frequency increases, the radiometer begins to respond to scattering processes located higher in the cloud, with the net result being an across-track “stretching” of the T_B contours. For example, at the 20 km ER-2 altitude, the total across-track dimension for a constant feature at 10 km height is 20 km. This tendency is especially obvious in the 37 and 85 GHz images in Fig. 2. Near 2101, the 37 GHz 180 K T_B contour extends over ± 30

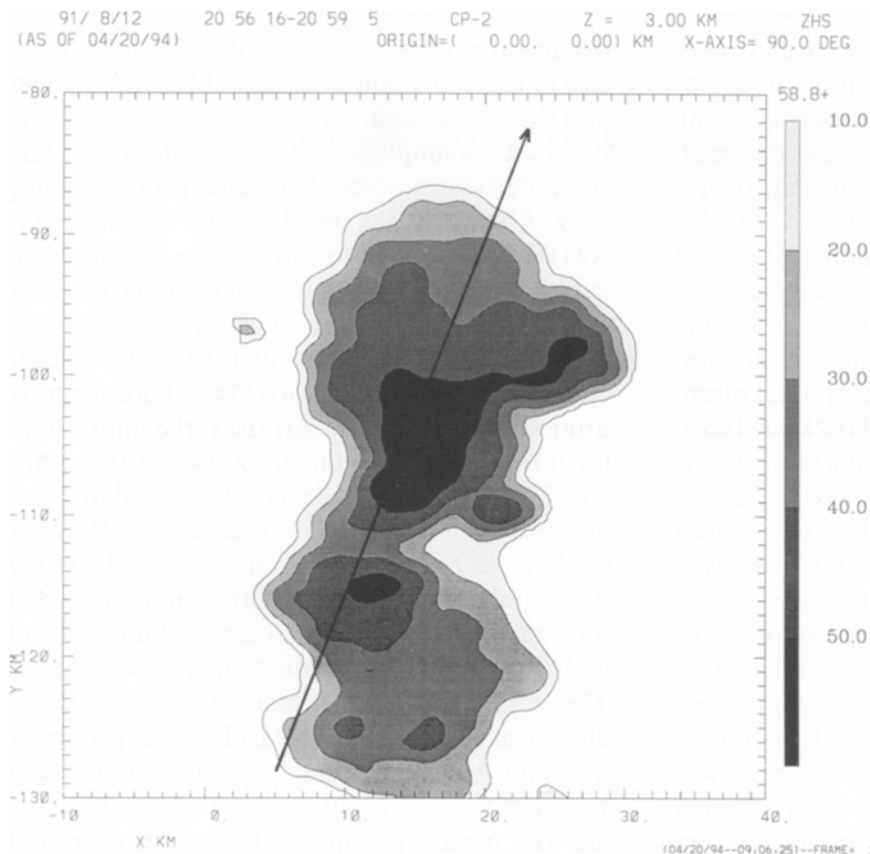


Fig. 1. Constant-altitude contours of the 3 GHz CP-2 radar reflectivity Z_H in dBZ at a 3 km height, displaying the ER-2 aircraft track from 2059–2103 UTC

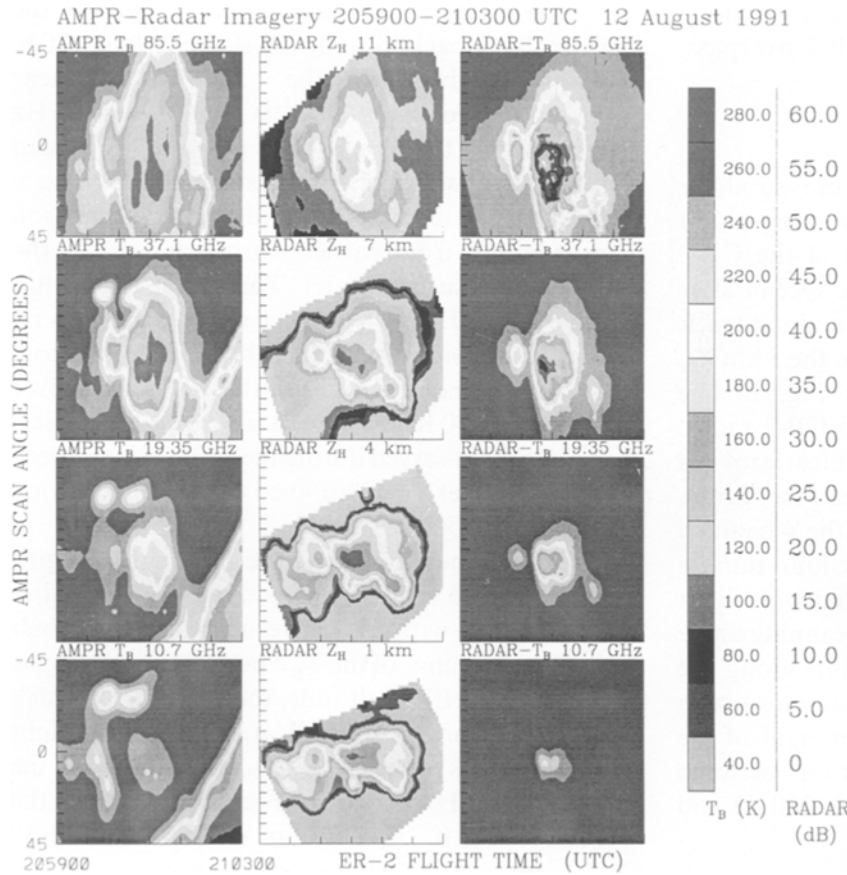


Fig. 2. Column 1: AMR imagery obtained on 12 August 1991 from 2059–2103 UTC along a 25° flight bearing 100 km south of the CP-2 radar. From bottom to top, the 10.7, 19.35, 37.1, and 85.5 GHz channels are contoured in equivalent black-body brightness temperature (T_B) Kelvin units. AMPR imagery format displays the flight time along the abscissa, and the AMPR scan angle along the ordinate. Column 2: 3 GHz radar reflectivity imagery remapped to heights of 1, 4, 7, and 11 km above ground level into the same coordinates as Column 1. Column 3: Simulated AMPR T_B obtained from using the radar-derived microphysics as input to a radiative transfer model (see Sections 4 and 5)

degrees of nadir, while at 85 GHz it extends over the entire scan. In addition to the main precipitation core in the image center, a smaller cell along nadir near 210030 UTC is evident, and the small bulge in the ocean-land boundary noted at 10 GHz is now fully enhanced in the 37 and 85 GHz images. At 85 GHz, any visible contrast between the land and ocean background disappears, as water vapor and cloud water surrounding the main cloud structure provide sufficient emission warming to produce a band of $T_B > 250$ K. During COHMEX, 92 GHz T_B values of less than 100 K were often observed over storm cores, near the values noted here, while the 37 GHz T_B over the core (120 K) is slightly warmer than the coolest 37 GHz COHMEX values of ≈ 100 K (Adler et al., 1990), again with the different view angles.

In order to facilitate the comparisons of the AMPR and CP-2 measurements, the radar data were remapped into a coordinate system based upon the flight track and the AMPR scanning. The full radar PPI set of volume scans was interpolated into a cartesian grid of data points with the CP-2 radar feedhorn situated at the

origin. Given the (x, y, z) coordinates of the ER-2 as a function of flight time, the AMPR scan angle, and the (x, y, z) locations of each of the radar grid points, it is a simple geometric operation to obtain the underlying profiles of the radar products along each AMPR image pixel. In this manner the AMPR and radar data can be directly compared in either the vertical, along-track, or across-track dimension. In Fig. 2, the CP-2 radar constant-altitude Z_H contours are illustrated in the middle column at heights of 1, 4, 7, and 11 km above ground level. In particular, the three main cloud features in the 11 km image exceed 30 dBZ when 85 GHz T_B falls below 160 K. This similarity has also been noted by others (Adler et al., 1991; Adler et al., 1990; Fulton and Heymsfield, 1991). Using the radar image at 1 km height as an indicator of the rain rate, only AMPR scans within $\pm 20^\circ$ of nadir intercept significant surface precipitation. The lower frequency channels (10 and 19 GHz) display only marginal contrast between precipitation and the surface, and the higher frequencies sense processes originating above ≈ 5 km, illustrating why passive precipitation retrieval over land

Radar-Derived Vertical Profile Scan Angle= 0.9° P= 25

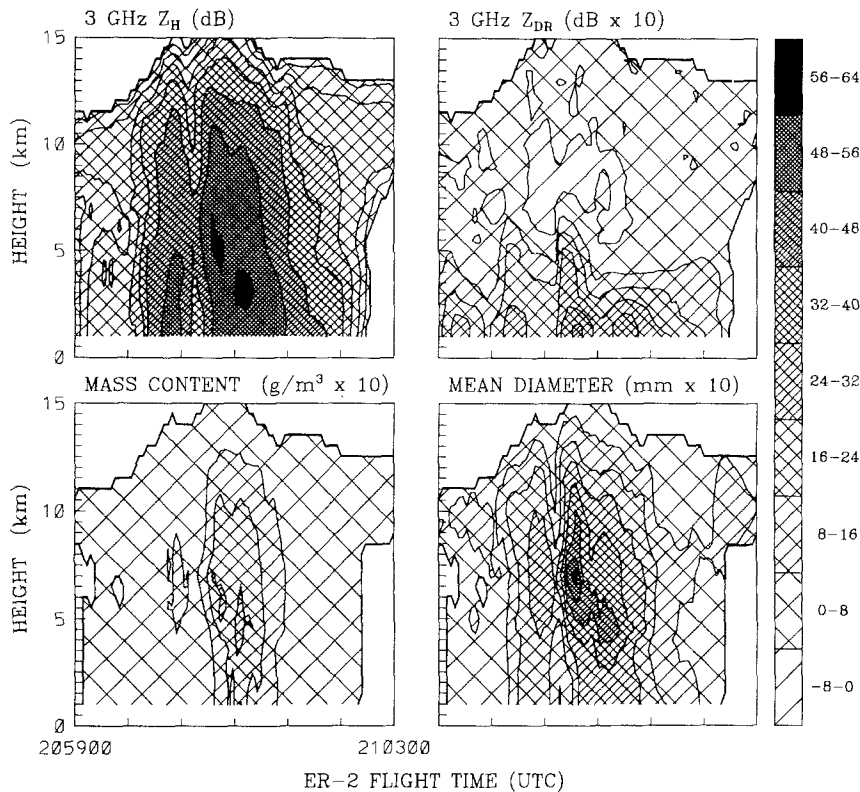


Fig. 3. Radar and radar-derived vertical profiles under the near-nadir AMPR trace. The flight time (2059–2103 UTC) is along the abscissa, and height is along the ordinate. Clockwise from upper left: 3 GHz Z_H in dBZ, 3 GHz Z_{DR} in dB $\times 10$, radar-derived mean particle diameter in mm $\times 10$, and radar-derived mass content in $\text{g m}^{-3} \times 10$

is more difficult than over ocean. Furthermore, with fine-scale radiometric resolution and an oblique viewing angle, it is possible to obtain 37 and 85 GHz T_B depressions over a precipitation-free region. Directly over the main core, the 37 and 85 GHz T_B fall to near the same value of ≈ 100 K, with 37 GHz $T_B < 85$ GHz T_B in some instances. This feature was also noted in other ER-2 flights (Vivekanandan et al., 1993).

The upper panels of Fig. 3 provide vertical profiles of the radar Z_H and Z_{DR} as a function of flight time under across-track pixel 25 (near-nadir). Radar data were not available below 1 km height. At 15 km above ground level, the cloud tops still exhibit near 25 dBZ echoes, and the deep, wide ice region covering this cloud is visible, including an anvil region past 210240 UTC. Extended, tall reflectivity columns are present at locations where the two main precipitation regions are located, 2100 and 2101 UTC. In both situations the 40–48 dBZ contour is present up to 12 km height, due to strong vertical air motion within the core. The profile of Z_{DR} is consistent with this scenario. Positive Z_{DR} extends up to 6–7 km height in the core regions, where large wet graupel or hail coexist with cloud water above the 0°C

isotherm level. Outside of the cores, where vertical air motion is reduced, the 0 dB Z_{DR} contour remains at a height of near 4–5 km, where onset of melting occurs. Z_{DR} gradually approaches 3–4 dB at 1 km height as the ice melts into oblate shaped rain drops with their major axes closely aligned along the horizontal. With this extended vertical structure, it is perhaps not surprising that internal scattering processes are intense enough to depress the 19 GHz T_B below 180 K. A region of slightly negative Z_{DR} is present directly over the main core between 6 and 11 km height, which has been associated with regions of hail (Bringi et al., 1986).

Aside from an obvious correlation between the radar and AMPR images, it is difficult to establish any quantitative relationships between either rainfall or ice content and the corresponding multi-frequency T_B . Although polarimetric observables such as Z_{DR} possess significant dynamic range in rain, the 3 GHz radar polarimetric signatures associated with convective ice regions are usually quite weak.

3.2 Precipitation Over Ocean

After 2100 UTC, the ER-2 continued repeat passes over several storm cells near the coastline 100 km

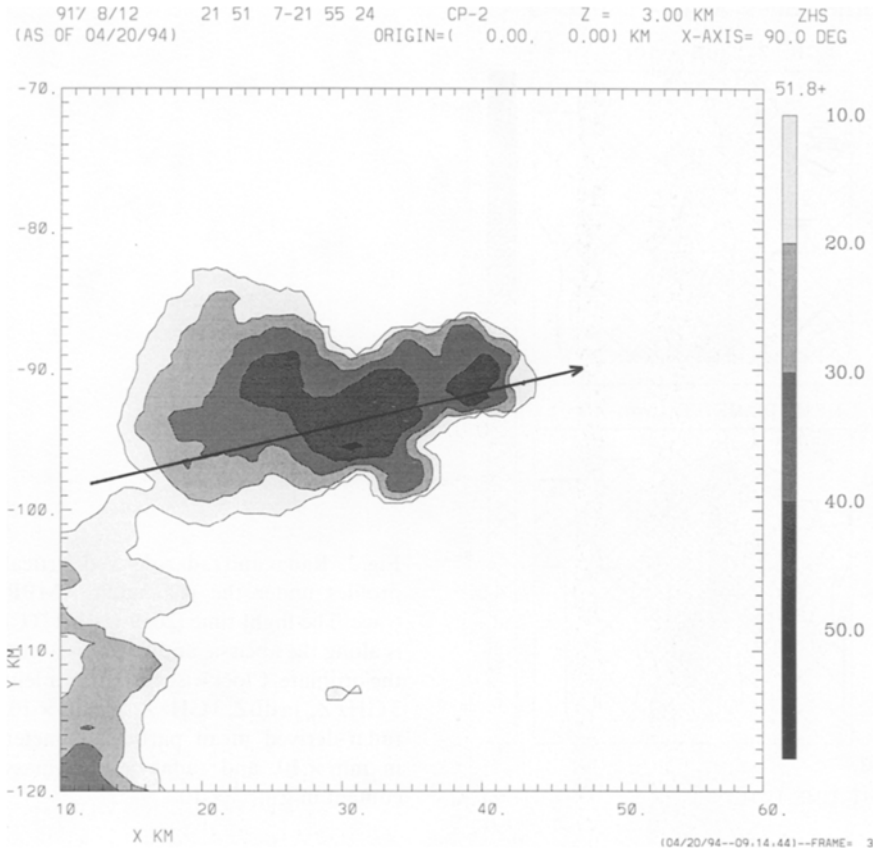


Fig. 4. Constant-altitude contours of the 3 GHz CP-2 radar reflectivity Z_H in dBZ at a 3 km height, displaying the ER-2 aircraft track from 2152–2155 UTC

south of CP-2. By 2150, the storm depicted in Fig. 1 had broken apart, with the main core over the Atlantic Ocean, near the city of Melbourne. In Fig. 4, the 3 km CAPPI shows weaker reflectivities (peak value of 52 dBZ), and the remainder of the storm structure to the southwest over land with a much more widespread, but weaker reflectivity ice region than the land-based storm discussed above. The ER-2 flew along a 79° bearing directly over the core region of this storm. Figure 5 displays the AMPR color imagery in which the ER-2 crosses from land to ocean near 215315 UTC. The portion of the precipitation band over the ocean is of particular interest, as best seen in the 10 GHz AMPR image.

The rainfall over ocean exhibits a 10 GHz T_B warming against the cool ocean surface, while the rainfall over land is not noticeable except for effects stemming from the 10 GHz spatial over-sampling (aliasing) that occurs near the land-ocean coastline, where the contour lines are tightly packed together. This is an artifact of the changing fraction of land and ocean surface that is captured within the relatively large 10 and 19 GHz 2.8 km

footprints while scanning near a land-ocean boundary. For the finer resolution 37 channel, the effect is slightly evident, although water vapor and cloud water absorption obscure the surface presence. Being an emission-based frequency, 10 GHz T_B remains below 240 K for all rain rates encountered, while the 19 GHz T_B saturates at near 260 K over a band along nadir. Since a calm ocean emissivity is lower at H polarization ($+45^\circ$ scan end), the T_B gradually warms as the scan moves towards V polarization (-45° scan end). The polarization skew effect becomes less pronounced as the frequency increases due to atmospheric water vapor and surrounding cloud water partially masking the underlying ocean. The 37 GHz image is deceiving since a break in the 200–220 K contour (orange-red) occurs where the scattering-induced T_B depression near 180 K is about equal to the T_B from the non-raining part of the image (Smith et al., 1993). To the right of this feature, the less intense raining region near 2154 UTC has saturated the 37 GHz channel to near 260 K (reddish colors), where ice scattering effects are not yet dominant. In the middle column of Fig. 5, the

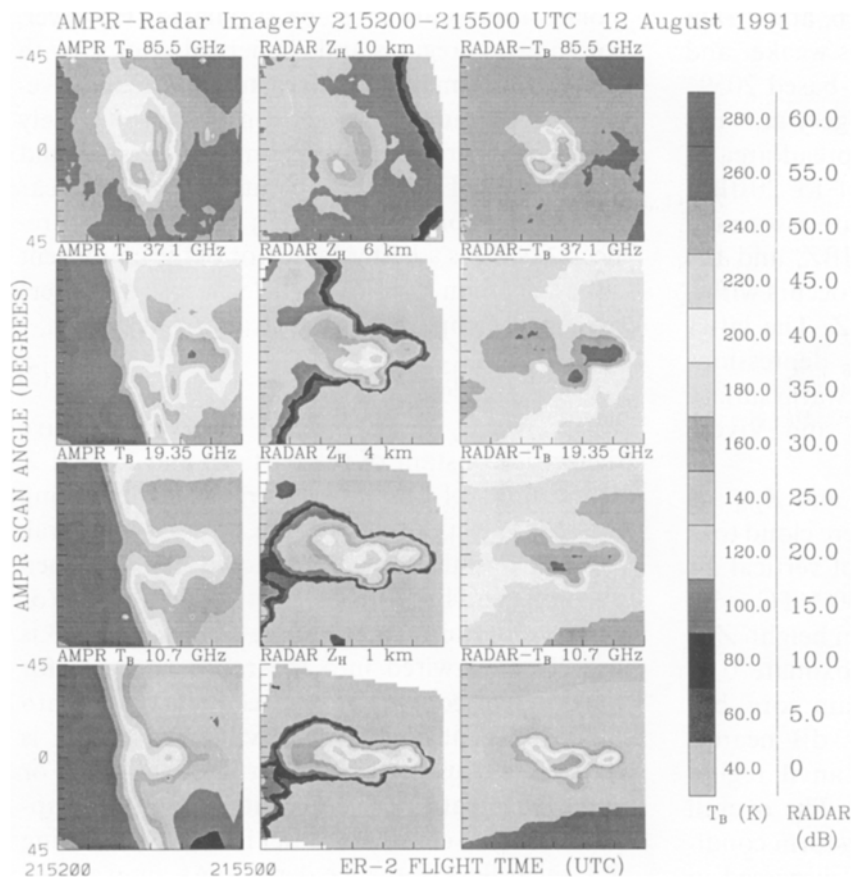


Fig. 5. Same format as Fig. 2, except the ER-2 flight time extends from 2152-2155 UTC along a 79° ER-2 flight bearing 100 km south of the CP-2 radar

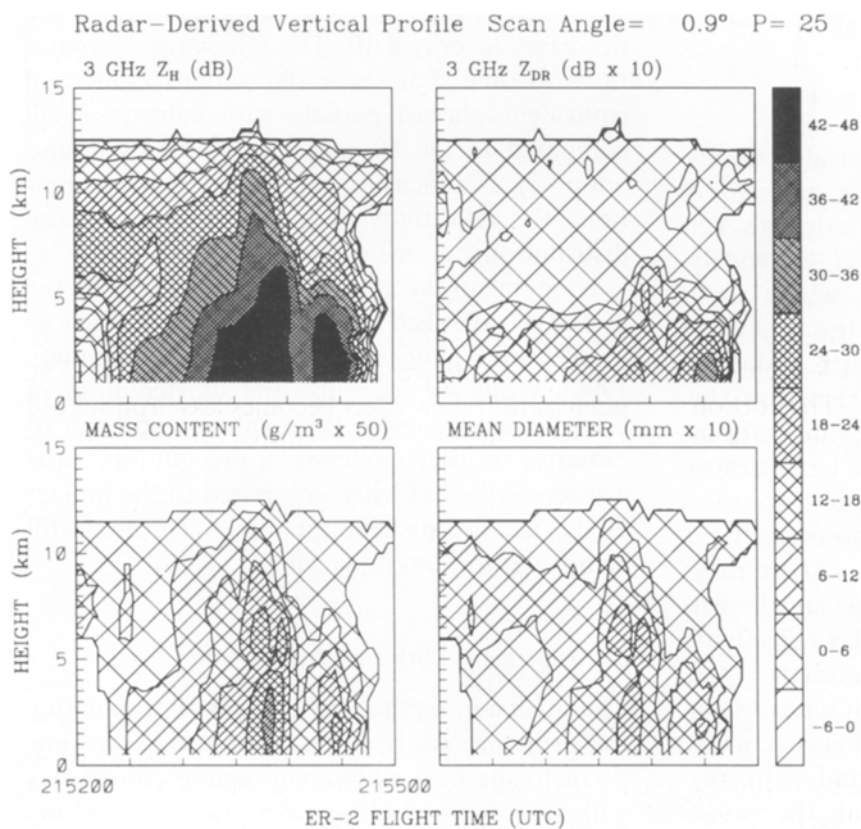


Fig. 6. Same format as Fig. 3, except the flight time extends from 2152-2155 UTC. Clockwise from upper left: 3 GHz Z_H in dBZ, 3 GHz Z_{DR} in dB $\times 10$, radar-derived particle diameter in mm $\times 10$, and radar-derived mass content in $g\ m^{-3} \times 50$

radar Z_H images at heights of 1, 4, 6, and 10 km are shown. At this time, the storm is weaker and cloud tops are lower than the land-based 2059–2103 UTC time period. The Z_H imagery at 6 and 10 km height depict the very widespread area of ice producing weak radar echoes of 15–20 dBZ. Two regions of 85 GHz $T_B < 160$ K are associated with identical regions of $Z_H > 30$ dBZ, and the surrounding region of ≈ 240 – 260 K occurs with a widespread area of $Z_H \approx 15$ – 20 dBZ. The latter feature is perhaps due to slight T_B depressions from small ice particles scattering the warm surface emission and water vapor (Evans and Vivekanandan, 1990).

The vertical profiles of radar Z_H and Z_{DR} are shown in the top panels of Fig. 6, where cloud tops remain steady at 12 km. Evidence of vertical air motion is present in Z_H near 215330 UTC, with some 30 dBZ echoes present at 11 km height. Z_{DR} is slightly positive above the approximate 0°C level of 4–5 km only within the main core, but otherwise steadily increases to 2–3 dB nearest the surface. A portion of the ice anvil region is noticeable from 2152–2153 UTC. The overall measurements suggest a less intense storm condition than the land-based overflight discussed in Section 3.1.

4. Inversion of Radar Data into Hydrometeor Profiles

From the radar data, the desired physical retrievals include the columnar liquid, melting, and frozen mass contents, as well as the surface rain rate. Yet these quantities can be constituted by widely varying particle size distributions which yield vastly different microwave radiometric behavior.

In addition to the mass content M , radiative transfer applications require knowledge of the drop size distribution underlying the various particle types throughout the cloud. Unlike raindrops, the density, size, and shape of frozen ice particles vary widely with regard to cloud type and temperature, and published $Z - M$ ice relations vary widely. Also, it is not often clear whether the $Z - M$ relation refers to the radar reflectivity factor Z (proportional to the sixth moment of the ice size distribution) or the equivalent radar reflectivity factor Z_e (which is closer to what is actually measured and displayed). Since rain and ice hydrometeors are generally nonspherical, the radar

polarization state must be stipulated. However, for snow aggregates and aspherical graupel with dimensions small compared to the radar wavelength, the radar cross section is approximately that of a sphere of equivalent mass (Marshall and Gunn, 1952). For radars operating in a dual linear (H and V) polarization basis, the horizontal reflectivity Z_H is a better indicator of the equivalent sphere Z_e than Z_V . For particles whose diameters are small compared with the radar wavelength,

$$Z_e = (|K|/|K|_{\text{rain}}^2) Z \quad (2)$$

where $K = (\epsilon_r - 1)/(\epsilon_r + 2)$, ϵ_r being the relative dielectric constant of the particle, and Z_e and Z are in units of $\text{mm}^6 \text{m}^{-3}$. For rain drops fitting this condition, $Z_e \approx Z$ (Smith, 1984). In general, the appropriate value of ϵ_r should be used when encountering hydrometeors other than rain. For meteorological radars, a value of $|K|_{\text{rain}}^2 = 0.93$ is usually hard-wired into the radar constant for purposes of converting the received power into the equivalent radar reflectivity. For ice, ϵ_r is essentially a function of the particle density ρ . For a particle matrix of ice + water, ϵ_r quickly approaches that of rain for water fractions exceeding 5%, regardless of the ice density. As an example, for $\rho = 0.6 \text{ g cm}^{-3}$, Z_e is less than Z by about 10 dB, but with 5% melt water added, this difference decreases to only 4 dB. The following computations assume that the radar targets consist of equivalent spherical particles with diameters small compared to the 10 cm radar wavelength, and adopt Z_H as a measure of Z_e . Under such conditions the reflectivity is a function of the size distribution parameters alone. Earlier work involving one of the authors has accounted for the H and V polarized T_B from ice crystals such as needles and plates (Evans and Vivekanandan, 1990). While we recognize the shortcomings of using an equivalent volume sphere approach to radiative transfer modeling of precipitation, it is a secondary effect when compared to the impact of the ice size distribution upon T_B , itself still being a largely unknown effect.

4.1 Inversion Algorithm Description

The inversion method relies upon extrapolating the drop size distribution parameters from one point to the next while maintaining consistency with the observed CP-2 reflectivity. This allows

for smooth transitions to take place in the DSD and mass content when moving between rain and ice, eliminating the discontinuity encountered when applying different $Z - M$ relations for rain and ice. With this scheme it is necessary to stipulate the directions that the DSD parameters change with respect to a change in reflectivity. The result is a consistent, but non-unique hydrometeor structure. A gamma drop size distribution (DSD) truncated at $D_{\max} = 10$ mm is used,

$$N(D) = N_0 D^m \exp(-\Lambda D) \quad \text{mm}^{-1} \text{m}^{-3} \quad (3)$$

where N_0 is the intercept in units of $\text{mm}^{-(1+m)} \text{m}^{-3}$, Λ is the slope in mm^{-1} , and m is the shape factor, often referred to as the dispersion factor. As m increases >0 , the mode of the size distribution shifts to a larger size. In this case, the radar inversion process can be stated as:

$$[Z_H, Z_{DR}] \Rightarrow [N_0, m, \Lambda] \quad (4)$$

For ice, we assume a fairly high particle density of $\rho = 0.75 \text{ g cm}^{-3}$. In between the ice and rain regions, a region of wet ice is assumed to exist with an included water fraction f_w , where $0 \leq f_w \leq 1$.

After obtaining the radar profile underlying each pixel in the AMPR images as discussed in Section 3.1, the values of Z_H , Z_{DR} , and the height are used to assign each point along the profile as rain, ice, or a mixture composed of wet ice. A $Z_H > 10$ dBZ threshold was applied. The ice region extends from the region where Z_{DR} falls to near 0 dB up to the 10 dBZ cloud top, while the top of the rain region extends up to 3–4 km height. In some high Z_H regions, the rain region may only extend up to 3 km height when a mixture of rain and ice (such as hail) reduces the Z_{DR} below that typically expected for the associated Z_H assuming pure rain (Chandrasekar et al., 1991). In between the all rain and all ice regions lies a band of variable, but positive Z_{DR} that is assumed to be composed of an ice + water matrix. This category is referred to as the mixture or mixed phase region.

The procedure starts from the core region of the storm and spreads radially outward until all points are processed. To initialize each profile, the rain point nearest the surface is located and initial values of the shape parameter m and intercept N_0 are assigned. The slope Λ is then calculated such that the final DSD agrees with the radar-measured Z_H ,

$$[N_0, m, \Lambda, \rho] \Rightarrow Z_H = Z_e, \quad (5)$$

where the arrow indicates that the DSD on the left is numerically consistent with the radar value on the right side. Z_H is the observed CP-2 value in units of $\text{mm}^6 \text{m}^{-3}$, which we have adopted as the measure of the equivalent sphere Z_e calculated by,

$$Z_e = \frac{|K|^2}{(0.93)} \int_0^{D_{\max}} D^6 N(D) dD \quad \text{mm}^6 \text{m}^{-3} \quad (6)$$

The associated mass content M , mean particle size D_m and rain rate R at a 1 km height are also calculated,

$$M = \frac{\pi \rho}{6000} \int_0^{D_{\max}} D^3 N(D) dD \quad \text{g m}^{-3} \quad (7)$$

$$D_m = \int_0^{D_{\max}} D N(D) dD / \int_0^{D_{\max}} N(D) dD \quad \text{mm} \quad (8)$$

$$R = (0.0036) \frac{\pi}{6} \int_0^{D_{\max}} D^3 v(D) N(D) dD \quad \text{mm hr}^{-1} \quad (9)$$

where $v(D)$ is the terminal fallspeed in m s^{-1} (Doviak and Zrnic, 1984).

For $Z_H < 35$ dBZ, $m = 0$ and $N_0 = 8000 \text{ mm}^{-1} \text{m}^{-3}$. For $Z_H > 35$ dBZ, N_0 was allowed to decrease with increasing Z_H while m is allowed to vary between 0 and 5 for rain, increasing as Z_H increases. While the latter is a bold assumption, it is consistent with the range of m values found by several investigators (Ulbrich, 1983; Russchenberg and Ligthart, 1991). Overall, these assumptions assume that as Z_H increases, the associated DSD moves from an exponential, fixed N_0 condition into a narrower gamma distribution with the mean particle size shifted upwards. If there are no rain points along the profile (such as when viewing through the anvil portion of the cloud), the nearest adjacent point is located and the DSD is extrapolated from this as described below. For points flagged as wet ice, the water fraction f_w is computed so as to complete a smooth transition in Z_e between the rain and ice regions, thereby avoiding any gross discontinuities in the vertical mass content and the DSD parameters.

The algorithm then works upward, extrapolating the desired DSD parameters N_0 , m , and Λ , from the nearest already-assigned point (usually the one below it). This allows for smooth transitions to take place in the DSD when moving from one hydrometeor category to another without

having to apply a $Z - M$ relation for ice. Denoting the known and unknown points with the subscripts 1 and 2, respectively, then the known point is defined by

$$[N_{0,1}, m_1, A_1, \rho_1] \Rightarrow Z_{H,1} = Z_{e,1}. \quad (10)$$

The known DSD parameters are then perturbed in incremental amounts Δ about their assigned values in such a fashion that

$$[N_{0,1} \pm \Delta N_0, m_1 \pm \Delta m, A_1 \pm \Delta A, \rho_2] \Rightarrow Z_{H,2} = Z_{e,2}, \quad (11)$$

where ρ_2 and $Z_{H,2}$ are the density and Z_H associated with the unknown point 2. The assumption made here is that $\Delta m > 0$ if $Z_{H,2} > Z_{H,1}$, and $\Delta A < 0$ if $Z_{H,2} > Z_{H,1}$. In other words, m and A increase and decrease, respectively, with increasing Z_H . From this locally-derived set of potential DSD candidates, local $Z_e - m$ and $Z_e - A$ relations are found, from which the final m_2 and A_2 are calculated using the value of $Z_{H,2}$. Lastly, the intercept $N_{0,2}$ is calculated so as to produce agreement with the the radar-measured $Z_{H,2}$

$$[N_{0,2}, m_2, A_2, \rho_2] \Rightarrow Z_{H,2} = Z_{e,2}. \quad (12)$$

The associated mass content M_2 is calculated using Eq. 7 with the value of $\rho = \rho_2$.

The procedure then continues to process all profiles underneath all AMPR pixels, spreading out from the starting location until finished. Since the reflectivities generally decrease away from the core region, the shape factor m gradually is reduced to zero near the cloud top and edges, and the DSD approaches the exponential ($m = 0$) case. While this procedure obviously does not produce a unique gamma DSD, it is however numerically consistent with the measured Z_H throughout the entire grid, assuming that the particles are modeled as equivalent spherical shapes.

4.2 Radar-Derived Hydrometeor Structure Over Land

In the bottom two plates of Figs. 3 and 6, the vertical profiles of the mass content and mean particle diameter are displayed for the nadir traces of the land and ocean-based storms, respectively. In general, the profiles are in qualitative agreement with what one would expect for the vertical profiles of the Z_H and Z_{DR} radar products shown above them. The land-based case produces peak

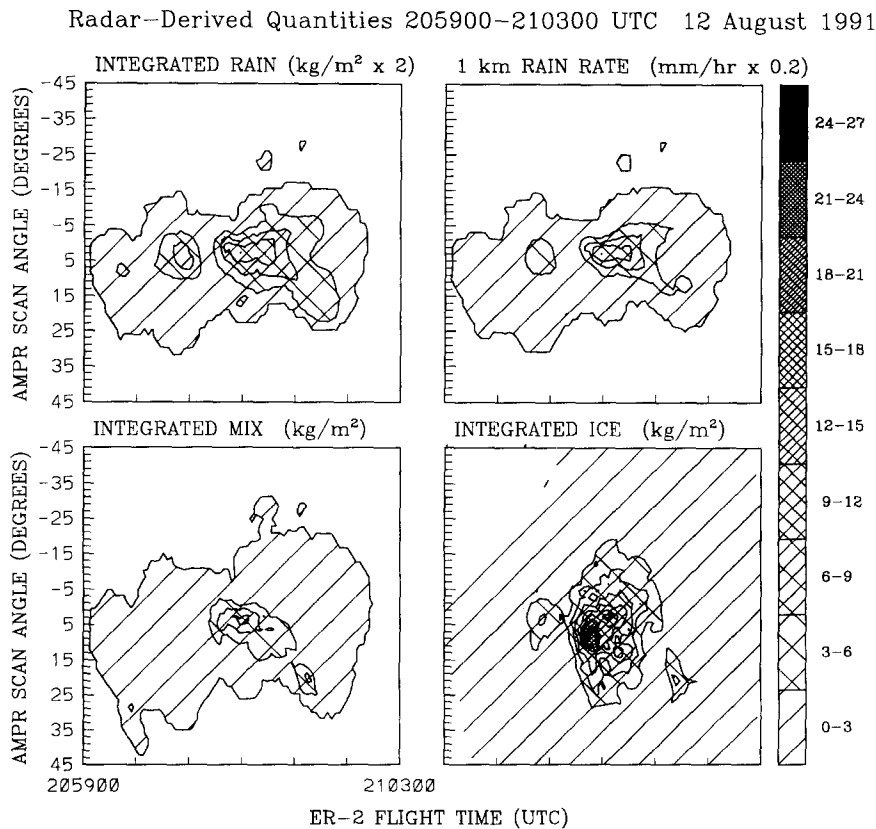


Fig. 7. Radar-derived columnar integrated water paths for the 2059–2103 UTC flight segment. The flight time is along the abscissa and the AMPR scan angle along the ordinate. Clockwise from upper left- integrated rain water path (RWP) in $\text{kg m}^{-2} \times 2$, rain rate at 1 km height in $\text{mm hr}^{-1} \times 0.2$, integrated ice water path (IWP) in kg m^{-2} , and integrated mixture water path in kg m^{-2}

mass contents of $2\text{--}3\text{ g m}^{-3}$ from 3–6 km height, slightly above where the peak Z_H occurs, although the mass content is perhaps slightly too large near 11–13 km above the core. The associated mean particle size D_m computed from the DSD ranges from 4–5 mm diameter in these same regions, with smaller values aloft and at the surface. This sizing is consistent with small wet hail and graupel near and above the freezing level, and eventual drop breakup into rainfall at heights below 3 km (Fulton and Heymsfield, 1991). The model results of Adler et al. (1991) produced rain and ice water contents up to 5 g m^{-3} near the freezing level.

Figure 7 depicts the columnar integrated rain, mixture, and ice contents in units of kg m^{-2} for the entire storm in the same type of format as the color images in Fig. 2 (flight time along abscissa, AMPR scan angle along ordinate). Near 2100 and 2101 UTC, the integrated rain water path (RWP) peaks at 7 kg m^{-2} very close to the nadir trace, near the -5° scan angle. The integrated mixture and ice water paths (MWP and IWP) peak at 7 and 25 kg m^{-2} , where the latter is suspected as an overestimate of the total IWP. In general, it is fair to state that radar retrieval of ice microphysics is

still somewhat primitive due to poor parameterizations of the ice DSD and density. Nonetheless, the 85 GHz AMPR image displays a close correlation with the IWP image, with all three main ice regions associated with an 85 GHz T_B depression as expected. Rainfall rates as derived by Eq. 9 are contoured in the upper right of Fig. 7, with peak rates of 60 and 20 mm hr^{-1} found at the 1 km height within the main and secondary cores, respectively. In Part II of this article the radar-retrieved microphysics for the scans near nadir are compared with the output of a multispectral, vertical profiling precipitation retrieval algorithm with its own internal microphysics.

4.3 Radar-Derived Hydrometeor Structure Over Ocean

For the ocean-based storm, the mass content values are smaller, as one might suspect from the vertical profiles of the Z_H and Z_{DR} , and the 85 GHz AMPR image (Fig. 6). Peak mass contents here are on the order of 0.8 g m^{-3} in and above the rain column, where Z_{DR} pops up above the 4–5 km freezing level (note the scaling factor for

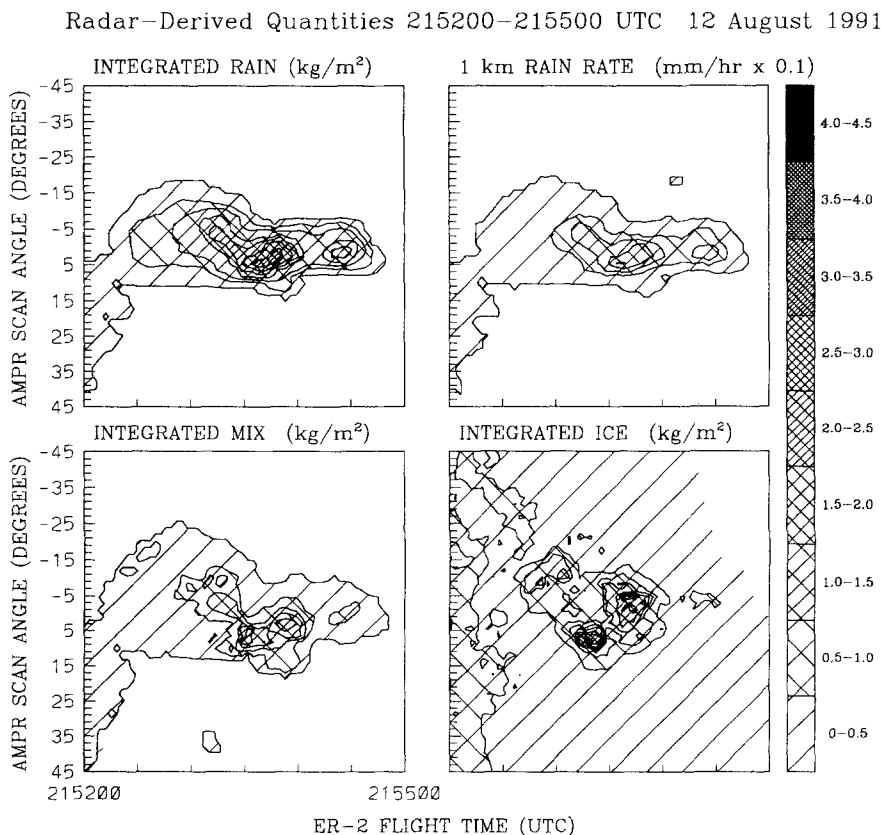


Fig. 8. Same format as Fig. 7, except the flight time extends from 2152–2155 UTC. Clockwise from upper left: integrated rain water path (RWP) in kg m^{-2} , rain rate at 1 km height in $\text{mm hr}^{-1} \times 0.1$, integrated ice path (IWP) in kg m^{-2} , and integrated mixture water path in kg m^{-2} .

the contours). The mean particle diameters are ≈ 1.5 to 2.5 mm in the core, while in the ice anvil region from 2152–2153 UTC, the mean particle diameter is within the 0.6 to 1.2 mm contour bin.

Associated columnar rain, mixture, and ice water paths are presented in Fig. 8. All three categories are below 3.5 kg m^{-2} columnar path, with once again the 85 GHz AMPR image displaying close correlation with the IWP. Both the two main regions of high IWP as well as the surrounding, widespread ice anvil region are manifested by scattering depressions in the 85 GHz AMPR image. There are two peaks in the rainfall rate of 20 and 13 mm hr^{-1} , while the IWP peaks to 2.5 kg m^{-2} only for the main core, consistent with the storm structure noted in the radar Z_H and Z_{DR} vertical profiles.

4.4 Sources of Uncertainty and Error

A comparison of the radar-retrieved IWP and the 37 and 85 GHz T_B as measured by the AMPR (Figs. 2 and 7, respectively) shows that the near-nadir trace (across-track pixel 25) falls very near the edge of the storm core as best determined by radar. For nearby AMPR scans, the minimum 37 and 85 GHz T_B changes very little for regions surrounding the storm core, maintaining itself near 100–120 K, while at the same time the radar-retrieved IWP changes by a factor of two.

Evidently, the wide variability in the radar-derived microphysics is not manifested by the AMPR T_B . While some of this is undoubtedly due to the nonlinear relation between IWP and T_B , it is likely that some of this difference originates in several sources of uncertainty in the radar modeling. First, near nadir, across-track pixels 24 and 27 are displaced by only 3 km on the Earth's surface. Depending upon the cumulative number of turns that the ER-2 executes, its navigation can be off by up to 10 km during the latter stages of the flight. Second, since each AMPR channel responds to different regions in the vertical atmosphere structure, it was necessary to apply an offset to the AMPR navigation latitude and longitude, align its minimum T_B regions with the region of peak radar Z_H at each AMPR frequency, and determine a "best match" applicable to all four frequencies. Typical offsets were on the order of ± 0.01 to 0.03 degrees (1–3 km on the Earth's surface) for the 12 August 1991 flights analyzed here. Third, with the lengthy range of these storm

cells from CP-2 (110 km), the radar resolution along azimuth and elevation directions is as large as 1.7 km. This alone is nearly three times the nadir Earth resolution of the 85 GHz AMPR channel. Finally, one must take into account the spatial averaging that takes place when processing the radar volume scans into a Cartesian coordinate system at this range from the radar. In accounting for the location uncertainty only the nadirviewing results presented in Part II of this manuscript will be compared to the radar-derived values over several nearby AMPR traces.

5. Radar-Based T_B Modeling

In addition to comparing the AMPR imagery against the radar-retrieved vertical cloud profiles, the radar-derived drop size distributions were used as input to a microwave radiative transfer model to simulate the AMPR imagery. A plane parallel microwave radiative transfer model employing Mie scattering phase matrices for spherical particles has been specifically adapted for use with radar-derived size distributions as its input (Vivekanandan et al., 1991). The model considers the full Stokes vector $[I, Q, U, V]$, allows for a polarized surface, and many layers of size distributions of particles types, including mixtures of types. Absorption by gaseous oxygen, water vapor, and cloud water is accounted for. Since the modeled atmospheric particles are spherically shaped, the Mie phase matrices contain only four independent elements. Consequently, any presence of the Q component results from a polarized surface, such as a specular ocean surface, but can be related to the vertical (V) and horizontal (H) T_B in the scene basis by $T_B^V = T_B^I + T_B^Q$ and $T_B^H = T_B^I - T_B^Q$. From these, the simulated AMPR T_B can be found as a function of scan angle using Eq. 1. Quadrature integration over 16 streams per hemisphere and the method of doubling and adding (Evans, 1991) are used to solve the equation of transfer in a layered, scattering atmosphere. Surface temperature was set to 300 K, with the model accounting for surface reflection and emission from either a Lambertian land surface or a specular, polarized ocean. A typical moist adiabatic temperature lapse rate of $6 \text{ }^\circ\text{C km}^{-1}$ was used with a surface air temperature of $30 \text{ }^\circ\text{C}$. The layer spacing was set to 0.5 km up to 15 km height for a total of 30 layers.

Along the profile of points corresponding to each AMPR pixel, absorption and scattering cross sections were calculated using Mie theory, and integrated over the radar-derived size distributions to obtain the volume extinction coefficient and albedo. For the rain, mixture, and ice regions, the Legendre expansion coefficients describing the angular form of the four independent phase matrix elements are first found as a function of the scattering angle Θ . The phase matrix elements are then transformed into a (θ, ϕ) , representation using the decomposition outlined by Kattawar et al. (1973), which simplifies the phase function integration by decoupling the ϕ dependence.

Cloud water plays an important role in modulating the TOA T_B at all microwave frequencies for land and ocean-based storms (Smith et al., 1992; Adler et al., 1990). Radar backscattering is overwhelmed by precipitation-sized particles and therefore for modeling purposes, cloud water must be accounted for separately. A vertical profile of cloud water content M_c was superimposed upon the radar-retrieved hydrometeor profile, defined as a function of temperature T by

$$M_c(T) = M_0 e^{-a(T - T_0)^2} \quad (13)$$

where M_0 is the maximum amount of cloud water located at temperature T_0 . The cloud water was added where the associated CP-2 radar Z_H exceeded 5 dBZ. The factor a is adjusted to vary the shape of the profile at temperatures above and below T_0 . Cloud base was set at 1.5 km with an upper cloud cutoff at $T < -40^\circ\text{C}$, and the surface relative humidity set to 80% and decreases linearly with temperature. For cloud liquid water drops small compared with the wavelength, the absorption coefficient is independent of the cloud drop size distribution, inversely proportional to the wavelength, and directly proportional to the mass content (Vivekanandan et al., 1991). The absorption increases quickly for $\lambda < 1$ cm, and also increases with decreasing water temperature. For example, at 85 GHz 1 g m^{-3} cloud LWC at -10°C yields a 1 km^{-1} absorption coefficient. The water vapor and oxygen absorption are computed using the formulation presented by Liebe (1985).

5.1 Radar-Derived T_B Over Land

For the 2059–2103 UTC land-based ER-2 overflight, the radar-derived T_B images at the four

AMPR frequencies are presented in color in column three of Fig. 2. To be consistent, a constant land emissivity $e = 0.9$ was assumed, rather than trying to model the highly variable surface emissivity for this ER-2 flight interval. The cloud liquid water profile used is defined by Eq. 13, where $M_0 = 0.5 \text{ g m}^{-3}$ and $T_0 = -10^\circ\text{C}$.

At 10 GHz, only the most intense part of the core region produces any noticeable scattering-induced T_B depressions over the 260–280 K surrounding background, reaching a minimum of 210 K. The 19 GHz T_B falls as low as 130 K over the core region, with the three main cloud features all displaying a T_B depression. At 37 GHz, the 240 K contour is stretched across the scan near nadir, much like the AMPR 37 GHz image, with T_B minima near 110 K. This results from the way the storm is modeled across-track. As the AMPR scan limits are approached, the radiative transfer computations are performed along a slant path that traverses the upper ice region, but misses the rain and mixture region directly below much of the ice. Since the 37 and 85 GHz radiative effects originate at higher regions of the cloud, the 37 and 85 GHz T_B increasingly show depressions further away from nadir. Over land, the radar-derived imagery is consistent with the respective AMPR image in Fig. 2. Between 10 and 37 GHz, the AMPR T_B varies between 240–280 K for the region surrounding the radar 5 dBZ echo level, a feature which is not manifested in the radar-modeled T_B . One might suspect the presence of widely variable surrounding cloud water. As expected, the model and AMPR image display the greatest discrepancies further away from nadir, where the limitations of plane-parallel atmospheric radiative transfer modeling are rapidly approached. However, for AMPR scans within ± 10 degrees of nadir, the plane-parallel assumption appears sufficient and accurate for modeling purposes.

The nadir trace radar-derived T_B is plotted in Fig. 9, where the monotonic decrease in the model T_B with increasing frequency is evident for all points along track. Similar effects have been reported elsewhere (Adler et al., 1990). Also plotted at the bottom of Fig. 9 is the difference between the radar-derived and the AMPR T_B , with points after pixel 65 not comparable, as the ER-2 headed over ocean after this time. Positive and negative values indicate an overestimate and underestimate of the AMPR T_B , respectively. In general, all four

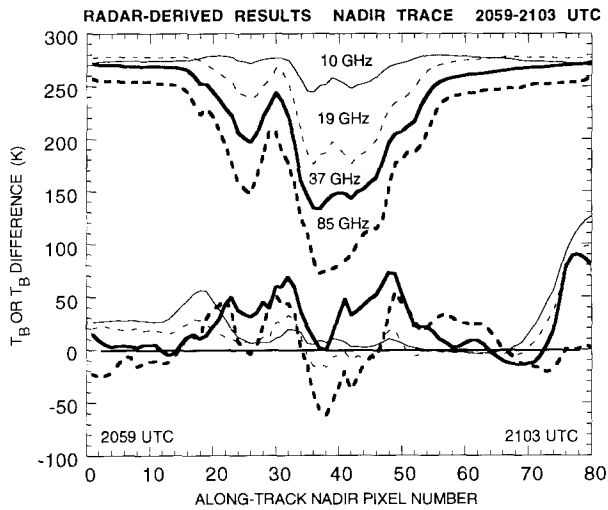


Fig. 9. Comparison of AMPR measured T_B and the radar-derived T_B along the near-nadir trace from 2059–2103 UTC, a total of 80 along-track pixels. Pixel 65 is the approximate boundary between land and ocean backgrounds. The top four curves illustrate the radar-derived T_B , and the bottom four curves centered about zero represent the difference between the radar-derived and measured T_B in Kelvin units

radar-derived T_B values overestimated the AMPR T_B by as much as 70 K, with the one noteworthy exception being the interval between pixels 35 and 45. Here the 37 GHz radar-derived T_B tended towards agreement with the AMPR T_B , while the 85 GHz T_B underestimated the AMPR T_B by up to 60 K.

The integrated ice water path (IWP) behavior is shown in the top panel of Fig. 10, where wide scatter is present in the radar-derived 85 GHz T_B vs. total IWP (points plotted with a plus sign). Most of the scatter is due to the fact that a portion of the cloud water mixed throughout the ice, 85 GHz T_B varies with the net radiometric effect of the cloud water mixed throughout the ice, saturating near 50 K beyond 20 kg m^{-2} . This plot is in quantitative accord with results obtained independently by Adler et al. (1991), who used cloud model microphysics in their radiative transfer models. At this point, it is instructive to separate the region of ice that lies above the cloud water profile. The points plotted as circles in the top panel of Fig. 10 indicate this IWP amount, and the 85 GHz T_B shows a tight relationship for $\text{IWP} < 4 \text{ kg m}^{-2}$, after which the 85 GHz T_B saturates near 50 K. The middle and bottom panels of Fig. 10 depict the 37–85 GHz T_B difference as a function of the total IWP and IWP lying above

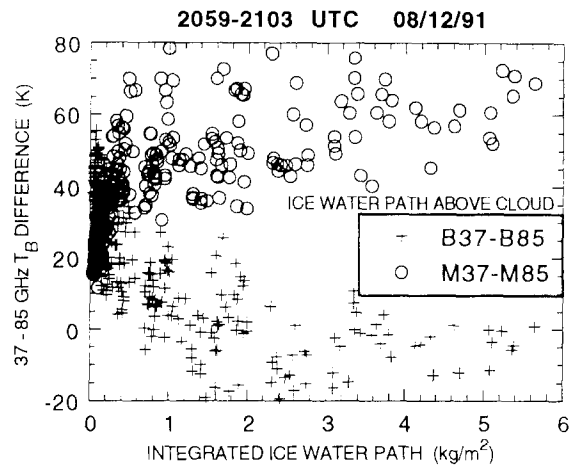
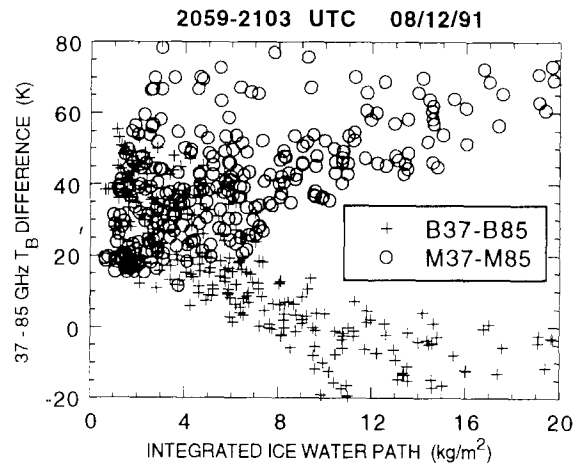
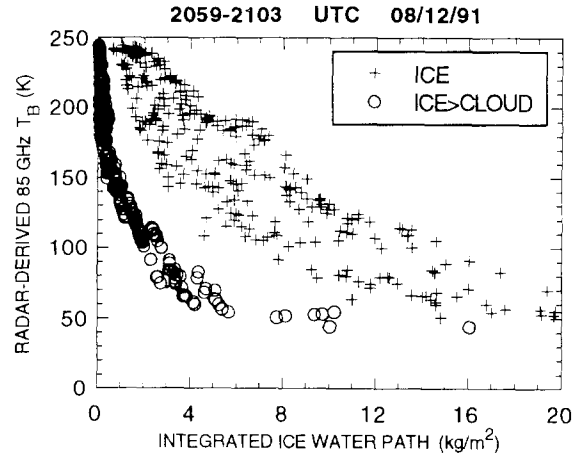


Fig. 10. Behavior of the columnar integrated ice water path (IWP) for the 2059–2103 UTC land-based storm. Only the points within ± 10 degrees of nadir arc shown. Top: Radar-derived 85 GHz T_B vs. total IWP (plus signs), and only the IWP lying above the cloud water (circles). Middle: 37–85 GHz T_B difference vs. total IWP for the radar-derived 37–85 GHz T_B (circles) and the AMPR measured 37–85 GHz T_B (plus signs). Bottom: Same as the middle figure, except the IWP lying above the cloud water is considered

the cloud water, respectively. The AMPR measured and the radar-derived points are plotted with plus signs and circles, respectively. For the middle figure, nothing is obvious until the total IWP exceeds 6 kg m^{-2} , when the AMPR and radar-derived points continue in opposite directions. The AMPR 37–85 GHz T_B difference moves below 0 K while the radar-derived points continue a positive trend. In the bottom figure, similar behavior is observed beyond $\text{IWP} > 0.5 \text{ kg m}^{-2}$. A good deal of wide scatter is evident in both of these figures, suggesting that the 37 GHz channel may be responding to regions below the ice where a mixed phase is present.

With such an extended vertical cloud structure, it is useful to know which particular AMPR channel is most sensitive to the presence of a mixed phase within precipitation, since the mixed phase region is usually a good indicator of the overall storm structure. In Fig. 11, the radar-derived 37 GHz T_B is plotted as a function of the integrated mixture water path (MWP). Although wide scatter exists, a definite trend is present with sufficient dynamic range, suggesting that over land, the 37 GHz channel responds to heights where mixed phase precipitation is present. The 19 GHz channel suffers from a sufficient lack of contrast against the land surface, while the 37 GHz channel responds to processes deep enough within the cloud (especially over the core) and also provides enough T_B dynamic range against the warm land. The response to the variable MWP provides an understanding of the lack of correlation with the total IWP in the 37–85 GHz T_B differences (Fig. 10,

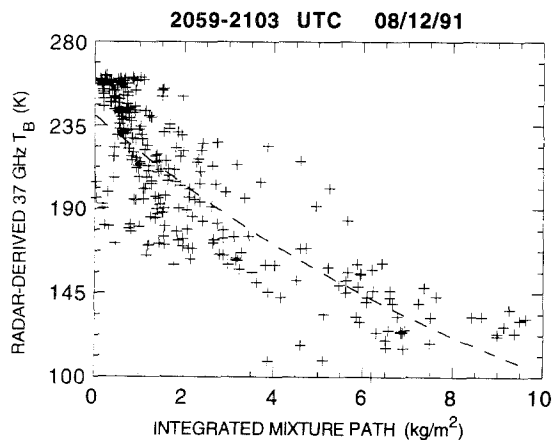


Fig. 11. Scatter plot of the radar-derived 37 GHz T_B vs. the columnar integrated mixture path (IMP) for the 2059–2103 UTC flight time interval

middle and bottom). The radiative transfer model illustrates the inherent problem of retrieving mid-level columnar mass contents over a land surface. First the T_B contributions from the emitting land surface must be overcome by enough mixed phase integrated mass content for scattering-based T_B depressions to become dominant. Over the precipitating part of the cloud, the net upwelling T_B profile remained near 280–290 K up to 4–5 km height regardless of frequency. However, as the region of mixed phase increases, the overlying frozen ice columnar content usually increases as well, and the net T_B responds to ice scattering processes above the mixed phase region. In effect, the mixed phase region is quickly “bypassed” at AMPR frequencies as storm intensity increases.

5.2 Radar-Derived T_B Over Ocean

For the 2152–2155 UTC ocean-based flight, the radar-derived T_B images at the four AMPR frequencies are presented in color in column three of Fig. 5. In this modeling, the surface was modeled as a specular water layer, so that away from nadir, $T_B^V > T_B^H$, where the superscripts refer to the scene polarization state. Since the AMPR receive state moves towards the V state across its scan, the modeled T_B increases as a result, using Eq. 1. Therefore, comparisons with the AMPR imagery are applicable only after 2153 UTC, where the ER-2 moved out over ocean. The cloud liquid water profile used is defined by Eq. 13, where $M_0 = 0.3 \text{ g m}^{-3}$ and $T_0 = -5^\circ\text{C}$. In general, the radar-derived T_B are in much better agreement with the AMPR T_B than noted for the previous land-based situation. At 10 GHz, the radar-derived T_B shows a small region of 200–220 K near 215430 UTC, with the AMPR showing only a small region of 140–160 K (green), indicating lighter precipitation than the radar observed. However the 19 GHz image comparisons are in much closer agreement, with the radar-derived T_B near 240–260 K over a larger extent than the AMPR T_B shows. For both the 10 and 19 GHz radar-derived images, the scan-changing polarization state is nicely enhanced, and over the rain-free regions the associated T_B shows quite good numerical consistency with the AMPR T_B .

At 37 GHz, there is a break in the 240–260 K contour nearly at the radar-derived T_B image center, where the surface rainfall is near its peak

as shown in Fig. 8. A similar feature is noted in the actual 37 GHz AMPR image as well. This results when the scattering-induced T_B depression over the intense storm core is of the same magnitude as the surrounding rain-free T_B . The small spot of 260–280 K near 2154 UTC and 15° scan angle is also noted in the 37 GHz AMPR image. Despite the shortcomings of the radar-derived ice microphysics, the 85 GHz images show a nice degree of agreement both in structure and relative T_B . The two regions of 85 GHz T_B near 140–160 K are nearly co-located in both images, although once again the limitations of the plane parallel model are obvious away from $\pm 10^\circ$ of nadir. Even though the radar-derived T_B assumed an ocean surface everywhere, the surface characteristics are almost totally masked at 85 GHz. Unfortunately, neither the radar-derived nor the AMPR 85 GHz T_B show much evidence of detecting the widespread ice anvil region where $Z_H \approx 20$ dBZ.

The nadir-trace radar-derived T_B is plotted in Fig. 12, comparable to the AMPR T_B after along-track pixel 25 when the ER-2 was always over ocean. The 37 GHz T_B remains nearly saturated at 260 K with the exception of a T_B depression over the main storm core. Even at 19 GHz, the T_B saturates near 260 K and displays minimal contrast with the variable rain amounts shown in Fig. 8. The dynamic range of the 10 GHz channel clearly demonstrates the advantage of a channel which is relatively unaffected by scattering effects for measuring rainfall over ocean at these instru-

ment resolutions. This observation is in close accord with results found independently by Smith et al. (1993). The TRMM instrument will include a 10.7 GHz channel.

The difference between the radar-modeled and the AMPR T_B are also plotted in the bottom of Fig. 12, comparable after along-track pixel 25. As mentioned, the radar-derived 10 GHz T_B overestimates the observed value by 60 K near pixel 48, which we have not been able to explain, though there appears to be a constant 25 K overestimate at 10 GHz across all pixels. The agreement amongst the remainder of the channels is quite satisfactory, overestimating the AMPR T_B by no more than 25 or 30 K. In general, one would expect better agreement for the ocean-based precipitation, since emission and absorption processes are the dominant contributors to the radiative behavior below 37 GHz. These processes are less sensitive to variations in the drop size distribution than the scattering process (Jameson, 1991). Furthermore, much ocean-based precipitation exhibits weaker vertical velocities, with much less columnar ice water path, and extrapolating an ice size distribution from the underlying rain region is perhaps better founded under these circumstances. Since all of the T_B differences here are within 30 K, it suggests that even with the assumptions and shortcomings of the radar inversion scheme, the radar-derived size distributions are good enough to be consistent with the observed radar and radiative behavior.

The added utility afforded by the 10 GHz channel is illustrated in Fig. 13, where the modeled 19 GHz T_B is plotted as a function of the modeled 10 GHz T_B . Below 180 K, the points show a strong correlation, with additional scatter superimposed upon the relationship after 10 GHz $T_B > 180$ K. The points below this represent lighter rain rates, where the particle sizes lie in the Rayleigh regime at both frequencies and the absorption coefficient is inversely proportional to the wavelength. Above 10 GHz $T_B > 180$ K, ice particles increasingly exhibit Mie scattering effects at 19 GHz, which tends to depress the net upwelling T_B , in addition to the effects from cloud water, which tend to warm the net T_B . As a result the 19 GHz vs. 10 GHz T_B trend displays scatter along either side of the mean trend.

A plot of the 10 and 19 GHz radar-derived T_B as a function of the radar-derived rainfall rate is

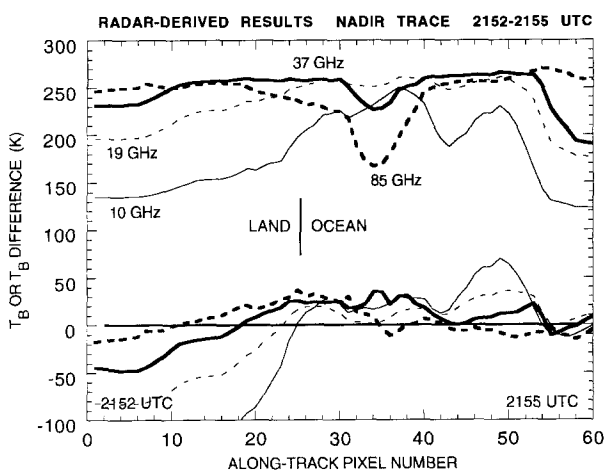


Fig. 12. Same as Fig. 9, except the flight time interval is from 2152–2155 UTC, a total of 60 along-track pixels. Pixel 25 is the approximate boundary between land and ocean backgrounds

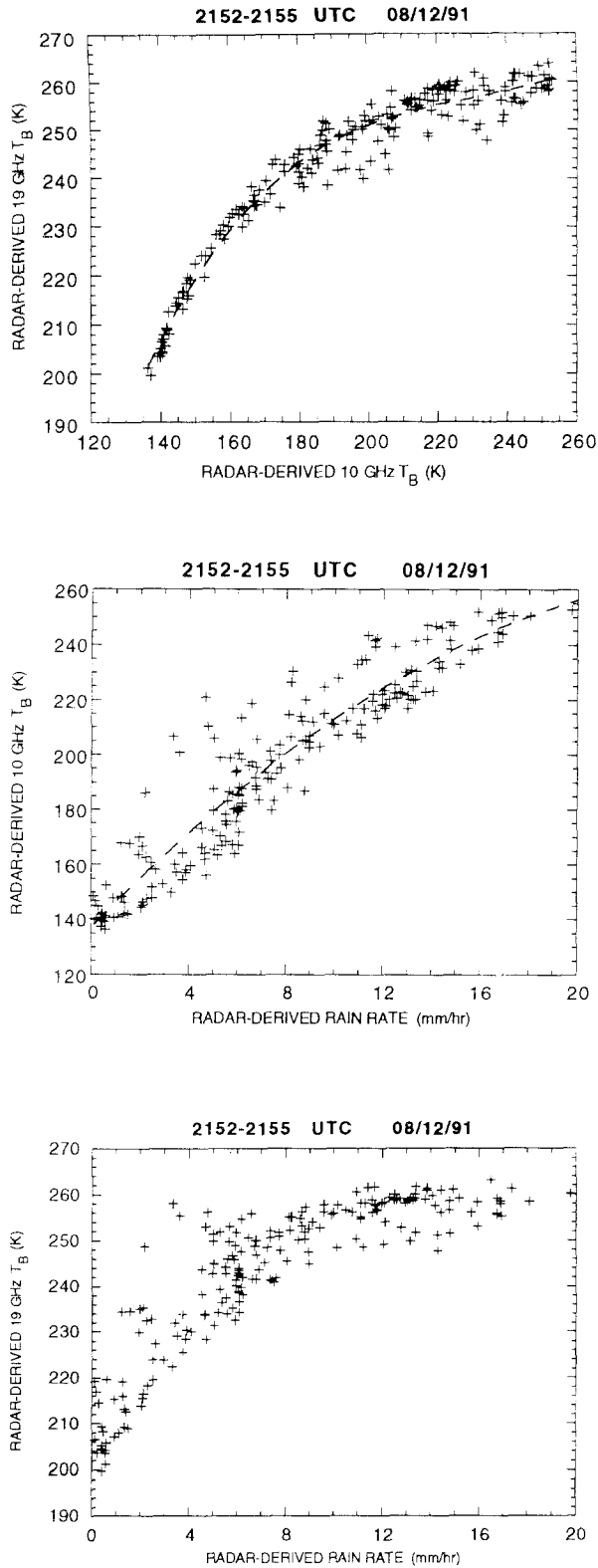


Fig. 13. Behavior of the radar-derived rain rate at 1 km height for the 2152–2155 UTC ocean-based storm. Only the points within ± 10 degrees of nadir are shown. Top: Radar-derived 19 GHz T_B vs. radar-derived 10 GHz T_B . Middle: Radar-derived 10 GHz T_B vs. radar-derived rain rate. Bottom: Radar-derived 19 GHz T_B vs. radar-derived rain rate

plotted in the middle and bottom of Fig. 13, respectively. For reasons stated above, scattering effects can be consequential at 19 GHz, and the 19 GHz T_B shows signs of saturation beyond the $10\text{--}12\text{ mm hr}^{-1}$ rainfall rate level. This is in accord with the cloud modeling efforts of Adler et al. (1991). At 10 GHz, the T_B shows no sign of saturation for rainfall rates up to 20 mm hr^{-1} , although the lack of contrast between the cool ocean and the weak emission from rain rates $< 2\text{ mm hr}^{-1}$ is slightly evident. At these resolutions, the 10 GHz channel appears to be the best overall choice for rain rates below 20 mm hr^{-1} . For viewing angles away from nadir, an ocean surface is partially polarized, and the gradual disappearance of the polarization difference as the rain rate increases can identify the onset of light rain (Spencer et al., 1989).

The land-based T_B modeling of Section 5.1 demonstrated that of the four AMPR channels, the 37 GHz T_B came the closest to exhibiting something resembling a trend between columnar mixture water path (MWP) and T_B . While it was not our intent to establish a relationship between the two, it is useful from a retrieval standpoint to identify the frequency which best senses the presence of a mixed phase. For this ocean-based case, the 19 GHz channel appears to be the best indicator of the presence of mixed phase, although the T_B saturated beyond a MWP $> 0.5\text{ kg m}^{-2}$ (not shown). The 10 GHz channel, being essentially transparent to the smaller amounts of IWP here, is uncoupled from much of a connection to the MWP.

With regards to the columnar integrated ice water path (IWP) (Fig. 14), the quantities are much less than noted for the land-based situation. As then, the presence of cloud water amongst the lower ice regions warms the overall 85 GHz T_B as a function of the overall ice water path, with scatter increasing proportionately. The trend towards and below 0 K that was noted amongst the 37–85 GHz AMPR T_B difference in Section 5.1 is difficult to discern for this ocean-based storm. In the middle panel of Fig. 14, the AMPR 37–85 GHz difference does seem to level off past a total IWP of $\approx 3\text{ kg m}^{-2}$, a cutoff value which was also noted in a recent theoretical study (Vivekandandan et al., 1991). The top and bottom panels of Fig. 14 demonstrate that both the 85 GHz T_B and the 37–85 GHz T_B difference are good indicators of

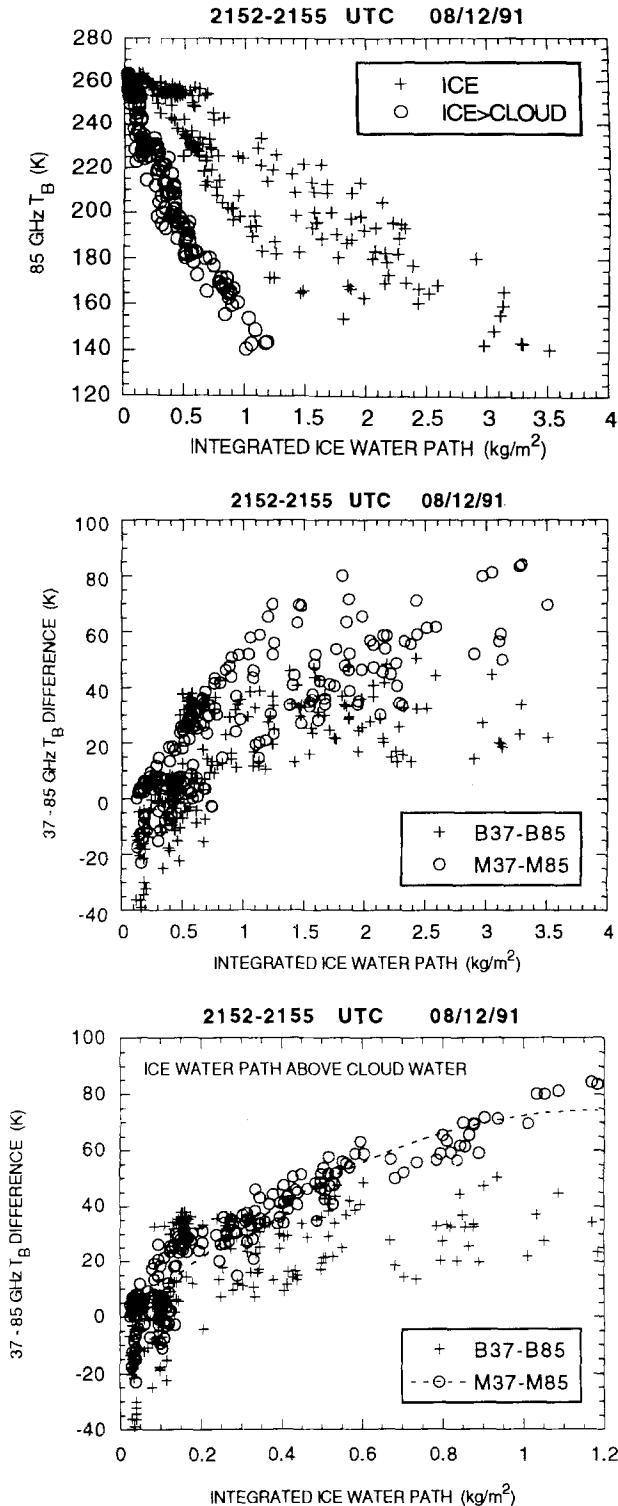


Fig. 14. Behavior of the columnar integrated ice water path (IWP) for the 2152–2155 UTC land-based storm. The format is the same as for Fig. 10

the portion of IWP lying above the cloud water. The bottom panel is somewhat peculiar, as such a tight relationship for the model points (plotted as circles) was not noted in the previous land-

based case. As the 19 GHz channel was noted to be the channel which demonstrated some degree of sensitivity to the MWP, this leaves the higher 37 and 85 GHz channels available to sense primarily regions of ice. This is opposed to the land-based case, where the 37 GHz channel was determined to be the MWP indicator. Hence detection of IWP using the 37–85 GHz T_B difference will be biased by the presence of a mixed phase.

5.3 Radiative Effects of Cloud LWC Amount and Location

Inspection of the radar-derived T_B imagery from 2059–2103 UTC (Fig. 2) shows a wide variability in warming and cooling against the AMPR-measured T_B . For comparative purposes, a constant cloud LWC profile was used throughout the cloud for radiative transfer modeling whenever the CP-2 Z_H exceeded 5 dBZ. The line traces in Fig. 9 show that at 85 GHz, the radar-derived T_B was up to 80 K cooler than the AMPR T_B over the core region, and up to 40 K warmer over the surrounding region. Oddly enough, at 37 GHz the radar-derived T_B was in close accord with the AMPR T_B over the core and warmer away from the core. For the region surrounding the core, the T_B warming probably results from an overestimate of the cloud water content and a placement too high up in the cloud (peaking at -10°C). For the core region, both this and the likelihood of an overestimate of the ice mass content are suspect, but in some combined fashion that is not very obvious.

To model the core region in depth, the pixel points overlying the immediate core region were isolated (77 points total), and various cloud LWC profiles were superimposed on the associated radar hydrometeor profiles, just as done in Section 5.1. As before, the cloud LWC profiles are defined by the position and temperature of the maximum cloud LWC according to Eq. 13. In Fig. 15, peak cloud LWC M_0 of 0.5 and 1.0 g m^{-3} at temperatures T_0 of -5°C and -20°C , respectively, are examined for their relative impact upon the 37 and 85 GHz T_B (upper right and middle left). For comparisons sake, the scatter plot in the upper left of Fig. 15 represents the AMPR-observed 85 GHz T_B vs. 37 GHz T_B . Note that these points are clumped together near 120 K with most of them lying above the 1:1 line (points where

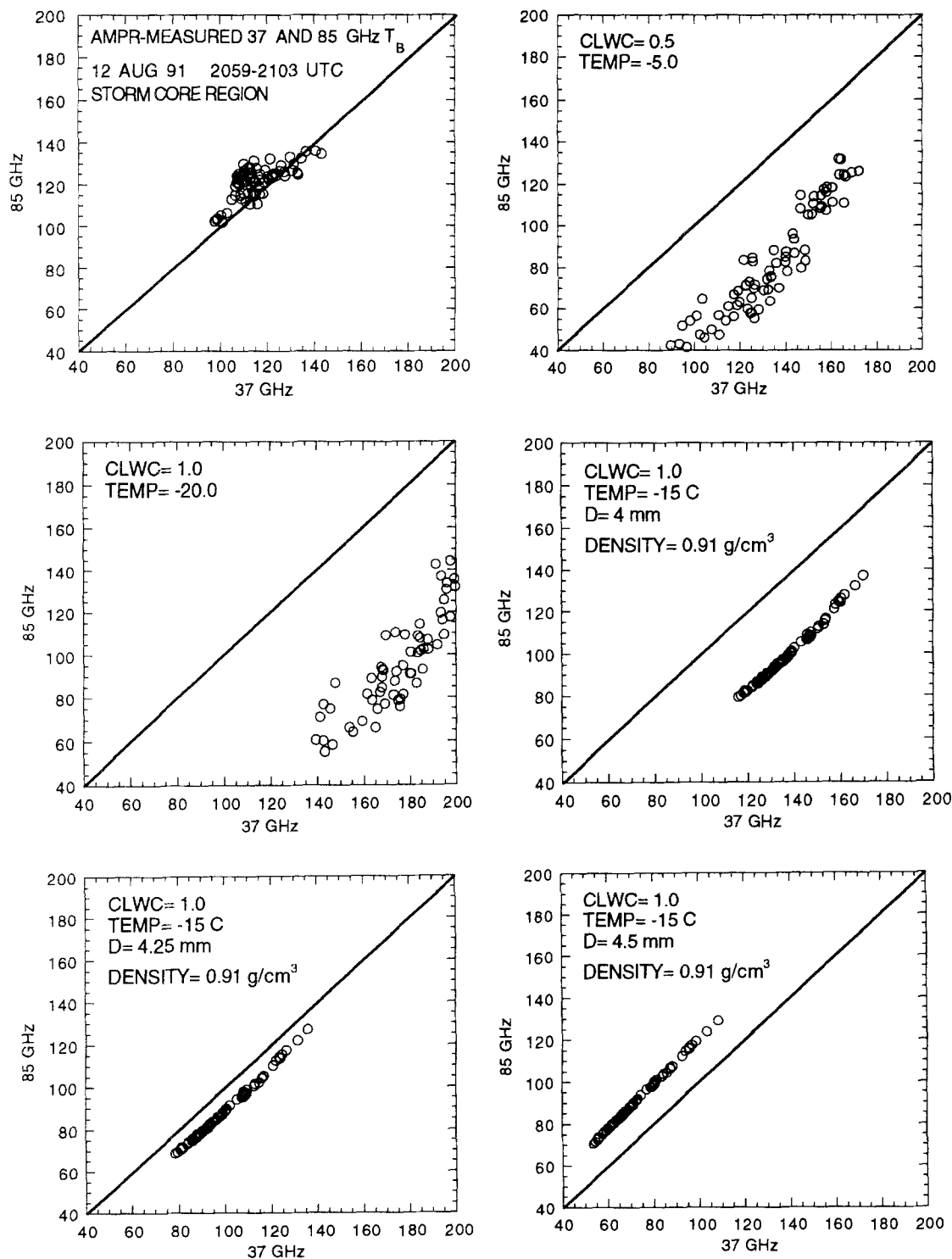


Fig. 15. Scatter plots of 85 GHz vs. 37 GHz T_B for the points encompassing the storm core region for the 2059–2103 UTC flight interval. Top left: AMPR measured T_B . Top right: Radar-derived T_B including a cloud liquid water content (CLWC) profile centered at -5°C with a peak value of 0.5 g m^{-3} . Middle left: Same as top right except CLWC profile is centered at -20°C with a peak value of 1 g m^{-3} . Middle right: Radar-derived T_B including a CLWC profile centered at -15°C with a peak value of 1 g m^{-3} , where the ice size distribution has been converted from gamma to monodisperse with a diameter of 4 mm and density of 0.91 g cm^{-3} . The number density was computed to maintain equivalence with the ice mass content. Lower left: Same as middle right except diameter is 4.25 mm. Lower right: Same as middle right except diameter is 4.5 mm

37 GHz $T_B < 85$ GHz T_B) The net effect of lowering the temperature of maximum CLWC (T_0) is to displace each point towards the upper right of the figure (upper right and middle left of Fig. 15). For the points corresponding to the very coldest 85 GHz T_B , the 37 GHz T_B warms by 7 K for each 5 K decrease in T_0 , and 85 GHz T_B is relatively unaffected. This is a manifestation of the 85 GHz T_B saturation referred to in Section 5.1. For points corresponding to the somewhat warmer 37 and 85 GHz AMPR T_B , the T_B warm by 5 and 3 K, respectively, for each 5 K decrease in T_0 . However, unlike the AMPR points, the model points remain relatively spread out in their T_B values, and nowhere does the 37 GHz T_B come close to approaching that at 85 GHz. This suggests that cloud LWC variabilities in the core region may be necessary but not sufficient to describe the observed AMPR T_B . These results also suggest that subtle size distribution effects may be present in the core region.

5.4 Radiative Effects of Ice Particle Size

To explain the 37 GHz $T_B < 85$ GHz T_B behavior noted over the core region by means other than the vertical CLWC profile, we next examine the effect of ice particle size. An earlier publication by Vivekanandan et al. (1993) demonstrated that it is possible to obtain 37 GHz $T_B < 85$ GHz T_B when a dominant ice particle size is present. At AMPR frequencies, the ice dielectric constant is relatively unaffected by frequency, so for a given size, the net effect of increasing frequency is to translate into the resonance region of the Mie extinction curve. For certain sizes, it is possible for the extinction cross section to be larger at the smaller of two frequencies. A plot of the extinction coefficient in units of km^{-1} vs. ice radius is plotted in Fig. 16. The ice mass content M_i is kept constant at 1 g m^{-3} for a monodisperse (single size) distribution of densities $\rho = 0.60$ and 0.91 g cm^{-3} . The remaining free size distribution parameter is the number concentration N , given by

$$N = (6000/\pi\rho D^3)M_i \quad \text{m}^{-3} \quad (14)$$

where D is the particle diameter in mm, M_i is the ice mass content in g m^{-3} , and ρ is the ice density in g cm^{-3} . At 10 GHz, Mie effects are slight and the extinction curve rises smoothly. The transition radius between 37 and 85 GHz occurs at 2.2 and

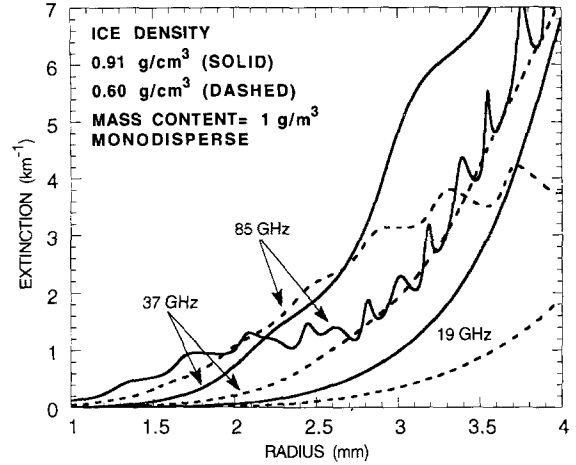


Fig. 16 Behavior of the 19, 37, and 85 GHz extinction coefficient for a 1 g m^{-3} monodisperse (single size) ice size distribution of density 0.60 (dashed line) and 0.91 (g cm^{-3}) (solid line)

3.4 mm radius for the 0.91 and 0.60 densities, respectively. It is possible that an ice size distribution that is narrowly centered about these dominant sizes could preferentially enhance the overall scattering of the upwelling emission from the rain and mixture region at 37 GHz. Although we have no means to verify the particle size in the convective core, it is worthwhile to note that other scientists have used similar arguments to explain polarimetric radar and radiometric anomalies. Zrnica et al. (1993) hypothesized that large wet aggregates of $\approx 10 \text{ mm}$ diameter were responsible for a change in sign of the radar differential propagation phase K_{DP} throughout the melting band of a mesoscale convective system. Fulton and Heymsfield (1991) surmised that hail sizes between 10 – 15 mm diameter were responsible for high DFR-hail signal values noted at 5 – 6 km height in Alabama convection. Gasiewski (1992) demonstrated that a series of microwave channels in the 90 – 400 GHz range would be able to detect the frequency at which the hydrometeor extinction displays minimum sensitivity to the mean particle size. Near this frequency, the slope of the brightness spectrum can be related to the mean particle size.

To test this hypothesis, the radar-derived ice gamma distributions of Section 5 were replaced with their mass-equivalent monodisperse counterparts (this replacement does not conserve the observed radar reflectivity). Particle diameters of 4 , 4.25 and 4.5 mm and density 0.91 g cm^{-3} were tested. The results are plotted in the middle right and lower two panels of Fig. 15, and demonstrate

the T_B perturbation effect quite strikingly. In all three cases, the 37 and 85 GHz T_B are nearly linearly related with a slope near unity, with a 37–85 GHz T_B offset of +45, +12, and –13 K for diameters of 4, 4.25 and 4.5 mm, respectively. This suggests that the ice particles in the upper reaches of cloud may be narrowly distributed around a common size between 4 to 4.5 mm diameter. Judging by the vertical extent of the high reflectivity column (Fig. 3), it is possible that the strong vertical air motion lofted large high density hail up into and amongst the lower density, more widely distributed in size, but smaller ice crystals and aggregates in upper regions between 10–13 km height. Although nadir-viewing 92 GHz T_B measurements below 100 K were reported during the 1986 COHMEX measurements, the differing view angles of the 37 and 85 GHz channels never viewed along a common path along nadir into the storm core. The 37 GHz channel peered 45° ahead and intercepted the lower density graupel which produces a more moderate T_B . We offer this scenario as a possible explanation of the AMPR T_B over strong storm cores, and suggest that this T_B feature is an indicator of the strength of the vertical air motion within the storm core. As mentioned, the techniques proposed by Gasiewski (1992) for channels up to 340 GHz hold promise for detection of even smaller particles commonly found in cloud and ice regions. Similar T_B anomalies might be noted amongst high frequency channels between 90 and 340 GHz when overflying regions where certain ice and cloud particle sizes dominate.

6. Conclusions

The sensitivity of the Advanced Microwave Precipitation Radiometer to both land and ocean based precipitating storms has been demonstrated for typical summer Florida convective storms. The fine resolution radiative effects are quite different than radiative effects observed at the same frequencies by radiometers with coarser resolutions. The scanning capability of the AMPR revealed subtle cloud characteristics that would go undetected to coarser resolution observations. Data from the NCAR CP-2 multiparameter radar was remapped into a geometry which enabled direct comparison with the multichannel AMPR imagery. For example, the correlation between the 10 km

height radar reflectivity Z_H and the 85 GHz AMPR T_B image was very strong for both the land and ocean storms.

A extrapolation-based inversion algorithm was applied to the CP-2 radar data corresponding to the AMPR imagery location. The differential reflectivity Z_{DR} was used in a qualitative fashion to determine if a mixture of rain and ice was present. Since drop size distribution (DSD) parameters and relations are better established for rain than for ice, the method gradually extrapolated the gamma DSD parameters from the rain upwards into the ice, using the observed radar reflectivity Z_H as a direction guide for the extrapolation of the DSD parameters. The result is a non-unique DSD which is numerically consistent with the observed radar Z_H , and which reduced to the exponential case for Z_H below 35 dBZ. Two storms were modeled from the 12 August 1991 ER-2 flights during CaPE, a land-based storm during the 2059–2103 UTC flight segment, and an ocean-based storm from 2152–2155 UTC. For the land-based storm, integrated columnar ice water contents exceeding 20 kg m⁻² were derived from radar, while columnar mixture and rain contents peaked near 7 kg m⁻². For the ocean-based storm, the associated columnar values were much less, peaking near 3.5 kg m⁻². Maximum radar-derived rainfall rates for the land and ocean storms were found to be 60 and 18 mm hr⁻¹, respectively.

Radiative transfer modeling using the radar-derived DSD profiles was performed for both the land and ocean based storms. Although the entire AMPR image was modeled for comparative purposes, due to the limitations of plane parallel modeling, only the points within ±10° about nadir were extracted for retrieval purposes. Radar-derived 85 GHz T_B showed signs of saturation at 50 K beyond total columnar ice water path (IWP) values of 20 kg m⁻², while at the same time the AMPR 37–85 GHz T_B difference fell near or below zero for IWP values exceeding 5 kg m⁻². This trend towards zero could not be duplicated by the gamma ice distributions here nor with variable amounts of cloud liquid water throughout the core region. However, the IWP existing above the region of cloud liquid water followed the 85 GHz T_B quite tightly with minimal scatter amongst the points.

Since many previous studies have not considered or questioned the presence of a mixed phase in the

radiative transfer models, the radar-derived T_B were examined for the channel which displayed the best statistical correlation with the overall columnar mixture water path (MWP). For the land and ocean storms sampled here, the 37 and 19 GHz channels were noted to be the best indicators in this regard, respectively. Mixtures of ice and rain will impact IWP retrieval algorithms that use 37 and 19 GHz channels as their dependent parameters. Over ocean, the 19 GHz channel was noted to saturate near 260 K for rain rates beyond 10 mm hr^{-1} , while the 10 GHz channel demonstrated dynamic range for the highest rain rates observed here near 20 mm hr^{-1} . Over land, although peak rain rates approached 60 mm hr^{-1} , the 85 and 37 GHz T_B depressions in these regions were more closely tied to increases in the IWP and MWP, respectively. Overall, the modeling results tend to confirm the belief that a multispectral, vertical profiling type of precipitation retrieval algorithm is ultimately necessary to interpret passive radiometric observations from space (Smith et al., 1992; Mugnai et al., 1993).

By shifting the location and peak amount of the cloud liquid water profile, the impact of coexisting cloud water and ice was examined. Under conditions where the 85 GHz T_B had not yet saturated below 150 K, the T_B warmed by 5 and 3 K at 37 and 85 GHz, respectively, for each 5 K decrease in the location of the peak cloud water temperature. A size-sorting phenomenon is proposed as an explanation to the odd T_B behavior over the storm core regions where 85 GHz $T_B < 120 \text{ K}$ and the 37–85 GHz T_B difference approached zero. Since the ER-2 flew along a track where the AMPR nadir view was directly down into the storm core, both 37 and 85 GHz channels sensed regions in the upper cloud levels, where extended vertical radar reflectivity columns were present due to vertical air motion. Radiative transfer results demonstrated that the presence of ice particle sizes near 4.5 mm diameter and of density 0.91 g cm^{-3} (somewhat larger for lower density ice) could duplicate this type of T_B behavior.

On a final note, the recent TOGA-COARE field experiment in the South Pacific Ocean included ER-2-based measurements taken with the AMPR and the Multifrequency Imaging Radiometer (MIR), a nine-channel radiometer designed to operate between 89 and 325 GHz (Racette et al., 1992). The ER-2-based multispectral measurements from CaPE and TOGA-COARE will play

a major role in determining the future requirements for high resolution passive microwave imagery from space.

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